

**Attachment 2**

**List of DPOSU NSLDS Standard Queries as of 8/23/01**

| NO                           | TITLE                                   | OBJECTIVE   | SPECIFICATIONS  |
|------------------------------|---|---|---|
| 1<br><i>See Note A below</i> | Reconciliation File                     | Provide complete file of all records on NSLDS   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All records, open and closed, where current GA code = GA<br>c) 640-byte tape format  |
| 2<br>Q2                      | Error Detail File                       | Detail of Top Ten Summary item: Produce list of errors not in NSLDS for a given GA, field code and error code   | a) Identifiers only<br>b) Requester must provide field/error codes  |
| 3<br>Q3                      | Rolled-up Loans                         | Identify loans suspected of being rolled-up and reported to the account level   | a) CL, PL, RF, SF, SL, SU loan types<br>b) AL, DA, FB, IA, ID, IG, IM, PC, PF, RF, RP, UI statuses<br>c) Must have multiple loans<br>d) OPB >= twice guaranty amount for one loan in account<br>e) "Twice" loan must have current OPB date<br>f) OPB date = disbursement date on other loans  |
| 4<br>Q4                      | Unpaid Underlying Loans (Consolidation) | Identify loans guaranteed by the GA that may have been consolidated but have an open status on NSLDS or are coded as PF   | a) Select all loans for student with at least one CL loan not in CA status<br>b) Retrieve CL loans regardless of GA<br>c) Retrieve underlying loans only for specified GA<br>d) RF, SF, SL, SU underlying loan types<br>e) Underlying loan statuses CA, DP, PC excluded<br>f) Guaranty date of underlying loans < guaranty date of CL loan<br>g) OPB date of underlying loans > 150 days old  |
| 4<br><i>Enhanced</i><br>Q4E  | Unpaid Underlying Loans (Consolidation) | Identify loans guaranteed by the GA that may have been consolidated but have an open status on NSLDS or are coded as PF; also include CL loans guaranteed by the GA that have potential underlying loans (including Direct Loans) in open status or coded as PF | a) Select all loans for student with at least one CL loan not in CA status<br>b) Retrieve CL loans regardless of GA<br>c) Retrieve underlying DLs and underlying FFEL loans regardless of GA<br>d) D1, D2, D5, D6, RF, SF, SL, SU underlying loan types<br>e) Underlying loan statuses CA, DN, DP, PC, PN excluded<br>f) Guaranty date of underlying loans < guaranty date of CL loan<br>g) OPB date of underlying loans > 150 days old |
| 5<br>Q5                      | Subrogated Loans                        | Identify accounts that may have been transferred to Debt Collections but all loans in account may not have transferred on NSLDS.  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open GA-held statuses: BK, DB, DF, DL, DO, DT, DU, DX, DZ, XD<br>c) OPB date > 150 days old<br>d) One loan in account subrogated to DMCS (GA 555)<br>e) Claim paid to lender, no repurchase  |
| 6<br>Q6                      | OPB Not Updated                         | Identify open loans that have not been updated with a current Outstanding Principal Balance (OPB)   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) OPB date > 150 days old  |
| 7<br>Q7                      | Open Loans Zero Bals                    | Identify loans that have an open status but have zero principal, interest, and fee balances   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) OPB + Interest + Fees = 0<br>d) Period begin date > 180 days old   |
| 8<br>Q8                      | Old Loans Held by GA                    | Identify 'neglected' loans in repayment more than twelve years that have not been updated in the past year  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open GA-held statuses: BK, DB, DF, DL, DO, DT, DU, DX, DZ, XD<br>c) Claim paid to lender, no repurchase<br>d) Maturity date > 12 years old<br>e) Claim paid date > 365 days old  |

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|---|--|--|--|
| <b>9</b><br>Q9                              | Old Loans<br>Held by Lender<br>or Servicer | Identify 'neglected' loans in repayment more than twelve years with OPB not updated in the past year   | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) Maturity date > 12 years old<br>d) OPB date > 365 days old  |
| <b>10</b><br>Q10                            | Loans Open<br>> 15 Years                   | Identify loans that have been open longer than the normal repayment period   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) Guaranty date > 15 years old  |
| <b>11</b><br>Q11                            | No<br>Disbursements                        | Identify loans with no disbursement data on NSLDS  | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) Total disbursements = \$0<br>d) Loan period end date > 120 days old   |
| <b>12</b><br>Q12                            | Repurchased<br>Loans                       | Identify loans held by lenders that may be missing repurchase data on NSLDS  | a) PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) Claim paid to lender, no repurchase<br>d) OPB date > 150 days old   |
| <b>13</b><br>Q13                            | OPB Twice<br>Guaranty Amount               | Identify loans with outstanding principal balances in excess of loan amount + capitalized interest (*)<br>(* estimated standard amount)  | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) OPB >= 2 times guaranty amount  |
| <b>14</b><br>See Note B below               | Duplicate Loans                            | Identify loans that occur more than once on NSLDS  | Matches on student number (# & sequence #), loan type, guaranty date, OPEID, guaranty amount, and PLUS borrower (# & sequence #)   |
| <b>15</b><br>Q15_Old, Q15_OPB               | GLOS<br>"Samples"<br>File                  | Generate full file of loans meeting criteria of GLOS samples sent to GAs in June 1999. Samples were originally produced for GLOS on 4/26/99. Two sets of samples were created:<br>OLD loans with no OPB updates since NSLDS inception, and<br>MOVING OPB loans with OPB date changes | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) Maturity date < 12/1/86<br>d) OLD Loans: OPB date <= 1/1/95<br>e) MOVING OPB Loans: OPB date > 1/1/95 |
| <b>16</b><br>Q16                            | NO Provider ID                             | Generate file of loans that do not have a Provider ID<br>All loans, open only, or closed only: PLEASE SPECIFY  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Selected statuses<br>c) Provider ID is blank  |
| <b>17</b><br>NSLDS Report: See Note C below | Top 10                                     | Run Top 10 Error Report on request   | Same as benchmark report   |
| <b>18</b><br>Q18                            | Full Error Count                           | Produce report count of errors, by field and error code  | a) All errors in most recent submission<br>b) Field, Error code, # of errors   |
| <b>19</b><br>Q19                            | Lender List                                | Produce list of all open loans for specific lender   | a) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>b) Requester must provide 1 or more lender IDs   |
| <b>20</b><br>Q20                            | Lender Totals                              | Produce report of total # of loans, guaranty amounts, OPB, disbursements, and cancellations by lender ID.  | a) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>b) Requester must provide 1 or more lender IDs   |
| <b>21</b><br>Q21                            | Errors Not<br>In NSLDS                     | Produce list of all errors not in NSLDS  | a) Identifiers only  |
| <b>22</b><br>Q22_DA, Q22_ID                 | SSCR Detail                                | Produce detail of SSCR benchmark totals  |  |

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|-----------|--|---|--|
| Q23       | 23<br>Forward-Moving DER                                   | Identify closed loans for which the Date Entered Repayment (DER) has potentially been moved forward in error                | a) CL, PL, RF, SF, SL, SU loan types<br>b) Closed statuses: BC, DC, DD, DE, DI, DK, DN, DP, DR, DS, DW, OD, PC, PF, PM, PN, RF, UC, UD, UI<br>c) Date entered repayment is in fiscal year 1993 or later<br>d) Date entered repayment is later than the current date of loan status<br>e) At least one previously reported date entered repayment is earlier than current date entered repayment<br>f) At least one previously reported valid loan status shows the loan in repayment |
| Q24       | 24<br>Sum of Disbursement + Cancellation > Guaranty Amount | Identify loans for which the sum of total disbursed plus total cancelled exceeds the guaranty amount; \$3 tolerance allowed | a) CL, PL, RF, SF, SL, SU loan types<br>b) Guaranty amount < (total disbursed + total cancelled - 3)   |
| Q24L      | 24<br>LPIF<br>Potential LPIF Overpayment                   | Identify loans on which LPIF may be overpaid  | a) PL, SF, SU loan types<br>b) Guaranty amount < (total disbursed + total cancelled - 3)<br>c) Date of guaranty after 10/1/99  |
| Q25       | 25<br>Insurance No Reinsurance                             | Identify loans with insurance amounts but no reinsurance amounts  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Insurance claim amount exists but no reinsurance claim amount exists  |
| Q26       | 26<br>Reinsurance No Insurance                             | Identify loans with reinsurance amounts but no insurance amounts  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Reinsurance claim amount exists but no insurance claim amount exists  |
| Q27       | 27<br>Closed Loans w/OPB > \$0                             | Identify closed loans with non-zero OPBs  | a) CL, PL, RF, SF, SL, SU loan types<br>b) Closed statuses: BC, DC, DD, DE, DI, DK, DN, DP, DR, DS, DW, OD, PC, PF, PM, PN, RF, UC, UD, UI<br>c) OPB > 0   |
| Q28       | 28<br>"Unclassified" School Code                           | Identify open loans with school code = 999999   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All open statuses: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, IA, ID, IG, IM, RP<br>c) Current school code = 999999  |
| Q29       | 29<br>Loan Status Codes Used                               | Produce summary of loan status codes by loan type   | a) CL, PL, RF, SF, SL, SU loan types<br>b) All loan statuses   |
| Q30       | 30<br>Excess Loan Amounts                                  | Identify loans with guaranty amount greater than that allowed for the academic level reported                               | a) CL, PL, RF, SF, SL, SU loan types<br>b) Guaranty amount exceeds that allowed for the academic level reported  |
| Q31       | 31<br>Open Loans Zero Bals                                 | Identify loans that have an open status but have zero principal, interest, and fee balances (Data Integrity Alert #1)       | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open statuses except IA, IG, ID, IM: AL, BK, DA, DB, DF, DL, DO, DT, DU, DX, DZ, XD, FB, RP<br>c) OPB + Interest + Fees = 0   |
| Q32       | 32<br>Potential AMF Overpayment                            | Identify loans on which AMF may be overpaid   | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) OPB + Interest + Fees = 0<br>d) OPB date < 4.5 years old<br>e) Guaranty amount > total cancelled  |
| Q33       | 33<br>Recent Claims  | Produce list of loans with claim paid in past 12 months with claim date within GA's responsibility period                   | a) CL, PL, RF, SF, SL, SU loan types<br>b) Claim date < 1 year old<br>c) Claim date within GA's responsibility period  |

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|------------------|---|---|--|
| <b>34</b><br>Q34 | Defaulted Loans with Borrower Collections   | Produce list of loans with current Claim Reason = 'DF' and principal/interest collections         | a) CL, PL, RF, SF, SL, SU loan types<br>b) Current claim reason = DF<br>c) GA principal/interest collections exist with dates after 10/1/00  |
| <b>35</b><br>Q35 | Moving OPB -- Financial Partners Definition | Identify loans with moving OPB dates but static OPB amounts (assumption)                          | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) Maturity date > 2 years old<br>d) OPB date <= 180 days old<br>e) OPB amount = net guaranty amount |
| <b>36</b><br>Q36 | Old Loans -- Financial Partners Definition  | Identify old loans in repayment more than twelve years with OPB not updated in the past 4 ½ years | a) CL, PL, RF, SF, SL, SU loan types<br>b) Open lender-held statuses: AL, DA, FB, IA, ID, IG, IM, RP<br>c) Maturity date > 12 years old<br>d) OPB date > 4.5 years old                                       |
| <b>37</b><br>Q37 | School Volumes                              | Produce summary of loan volumes for specified schools for given GA(s) and/or lender(s)            | a) FFEL loan types CL, PL, RF, SF, SL, SU and/or DL loan types D1, D2, D4<br>b) Requester must provide desired GA, school and lender code(s)   |

Note A: The reconciliation file is produced on cartridge using the program UT9800.

Note B: Query 14 is produced in text form using a COBOL program.

Note C: Report **GAERRS** from NSLDS web site.