

Session #20

Common Origination and Disbursement System Grant Operations & Funding

Pell, ACG, National SMART, Iraq and Afghanistan
Service Grant (IASG) Programs

Dan Sullivan, U.S. Department of Education
LaShae Jones, U.S. Department of Education



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Agenda

- Grant Operations Overview
 - COD Update for IASG, Pell, ACG, National SMART Grants
 - Pell Program Overview
 - Program Statistics
 - Edit Statistics
- Grant Funding Overview
 - IASG, Pell, ACG, National SMART Grants Funding
 - Cash Management
 - G5 Funding

COD Update

- Iraq & Afghanistan Service Grants –
 - 2010-2011 & 2011-2012 Award Years
- Cessation of ACG & National SMART Grant Processing
- Changes to process the 2011–2012 Award Year
- Schema Updates
 - Addition of 'Ability to Benefit' (ATB) fields
 - Addition of 'Program CIP Code' field
- New Edits for 'Ability to Benefit' data

COD Update

COD Releases

COD Release	Implementation Date
Release 9.2	October 8, 2010
Release 10.0	February 18, 2011
Release 10.1	June 17, 2011



COD Update

Iraq & Afghanistan Service Grants

Higher Education Technical Corrections – Pub. L. 111-39 - Effective for the 2010-2011 Award Year

- Parent or guardian died as a result of military service in Iraq or Afghanistan after 9/11/2001
- Must be less than 24 years old or enrolled in postsecondary program when the parent or guardian died
- Are not also receiving a Pell Grant (reverse awards to Pell if become Pell eligible)
- Equal to maximum Federal Pell Grant available for the award year
 - Not to exceed Cost of Attendance
 - Reduced for part-time enrollment
- Non-need-based aid
- Awards made under same terms and conditions as Pell
- Disbursements calculated the same way as Pell
- Up to two awards in an award year
- Lifetime Eligibility limited to 900% of Scheduled Awards



COD Update

Iraq & Afghanistan Service Grants

COD Release 9.2 (October 8, 2010) added a new Iraq and Afghanistan Service Grant (IASG) Indicator to the Pell Award Create Page on COD Web

- IASG Origination Process will only be available via the COD Web
 - Common record batch process not available
- IASG Originated via the Pell Award Create Page
- Once originated, web responses will be sent in Pell Award Block, as IASG is not in schema yet
- For Award Years 2010-2011 and forward

COD Update

Iraq & Afghanistan Service Grants

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Person School Batch **Award** Services User Program Admin

Create Award [HELP](#)

The fields marked with an asterisk (*) are required.

Common Record Information

Batch ID 2010-07-01T16:27:25.845

*Attending Entity ID Entity ID Type COD Entity ID

Student

Student Name John D Doe
SSN 100998888
Date of Birth 04/29/1969

Award Information

*Type PELL
*Award Year 10-11
*Award Amount \$500.00
*CPS Transaction Number 1
*Enrollment Date July 15 2010
*Cost of Attendance \$0.00
Verification Status
Incarcerated No
Additional Eligibility Indicator
IAS Grant
School Use Only

VIEW PERSON DATA CREATE NEW DISBURSEMENT CANCEL COMMON RECORD SUBMIT

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Checkbox will be displayed to award the IAS Grant

Note: IAS Grant indicator will only display if the student is not Pell eligible and has been flagged by the Department of Defense as a dependent of a veteran that died as a result of service after 9/11/2001

COD Update

Iraq & Afghanistan Service Grants

The following new edits were added for the 2010-2011 award year:

Edit	Message	Condition
192	Pell Award submitted with IASG Indicator equal to 'true'	Effective 2010-2011 and forward: A Pell award maintenance record is submitted with the IASG Indicator equal to 'true' for that award at that school.
193	Incorrect change to the IASG Indicator	Effective 2010-2011 and forward: The IASG Indicator is changed from 'false' to 'true' for a Pell award with Funded Disbursement(s)
194	Funded Disbursement not allowed for a Pell award with IASG Indicator equal to 'true'	Effective 2010-2011 and forward: A funded disbursement (DRI = true) was submitted on a Pell award with the IASG Indicator equal to 'true'.



COD Update

Iraq & Afghanistan Service Grants

COD Release 9.2 implemented the following:

- All IASG disbursements must be submitted as ***anticipated*** disbursements (Disbursement Release Indicator (DRI) = "false")
- Funding for 2010-2011 IASG is an exception-based process and based on ***anticipated*** disbursements (DRI = "false")
- Due to the very small number of Iraq and Afghanistan Service Grant-eligible students at this time, no designated reports have been developed for this program



COD Update

Iraq & Afghanistan Service Grants

COD Release 10.1 (June 17, 2011) will implement additional IASG funding enhancements for the 2011-2012 award year:

- Include IASG actual disbursements (DRI = 'true')
- IASG funding data will be setup for all Pell-eligible schools
- COD System will send funding related transactions for the IASG program to G5
- New ESOA Message Class = IGAS12OP
- Edit 194 will be retired

COD Update

Cessation of ACG and SMART Grant Processing

COD Release 10.0 (February 18, 2011) will implement the following functionality:

- Cessation of ACG & National SMART Grant Processing
 - No ACG questions on FAFSA starting with the 2011-2012 award year and forward
 - No funding available for 2011-2012 award year
 - ACG & National SMART Crossover Payment periods MUST be paid out of 2010-2011 award year



COD Update

Cessation of ACG and SMART Grant Processing

Starting with the 2011-2012 award year, no funding will be available for ACG or National SMART

- Will continue to process for prior award years

Funding Cancels	Cessation of ACG and SMART Grant Processing Events
9/30/2011	Processing 2006-2007 ACG and SMART Grants
9/30/2012	Processing 2007-2008 ACG and SMART Grants
9/30/2013	Processing 2008-2009 ACG and SMART Grants
9/30/2015	Processing 2009-2010 ACG and SMART Grants
9/30/2016	Processing 2010-2011 ACG and SMART Grants



ACG Overview

Recipient Statistics

	2006-2007	2007-2008	2008-2009	2009-2010	2010-2011
Number of Recipients	310,271	406,459	448,085	658,094	446,733
Total Dollars Disbursed	\$242,589,876	\$309,302,462	\$340,051,078	\$480,163,129	\$189,017,179

National SMART Grant Overview

Recipient Statistics

	2006-2007	2007-2008	2008-2009	2009-2010	2010-2011
Number of Recipients	68,100	71,426	70,911	128,926	76,513
Total Dollars Disbursed	\$205,951,111	\$205,127,296	\$200,053,073	\$359,660,643	\$141,470,449

National SMART Grant Overview

Program Statistics 2009-2010

CIP	Recipients	Total Disbursements
Biological and Biomedical Sciences	38,261	\$115,627,349
Engineering	25,001	\$75,245,132
Computer Science	20,921	\$59,697,798
Physical Sciences	9,971	\$29,764,516
Mathematics	6,926	\$20,577,251
Critical Foreign Language	5,683	\$17,725,051
Engineering Technology/Technicians	5,351	\$15,037,019
Multi/Interdisciplinary Studies	3,170	\$9,326,449
Agriculture, Agricultural Operations, and Related Sciences	2,639	\$8,043,920
Natural Resources and Conservation	1,643	\$4,959,890

COD Update

COD Release 10.0 will implement the following functionality for **ALL** programs:

- Changes to process the 2011–2012 Award Year
- Option for Schools/Third Party Services to NOT Receive Batch Receipts
- Schema Updates
 - Addition of 'Ability to Benefit' (ATB) fields
 - Addition of 'Program CIP Code' field
- New Edits for 'Ability to Benefit' data

COD Update

Ability to Benefit & Program CIP Code

In the 3.0d Schema, the following tags will be added to the Award Block for Pell, DL, TEACH, and Perkins:

- <AbilityToBenefitCode>
- <AbilityToBenefitTestAdministratorCode>
- <AbilityToBenefitTestCode>
- <AbilityToBenefitCompletionDate>
- <AbilityToBenefitStateCode>
- <ProgramCIPCode>

COD Update

Ability to Benefit

COD Release 10.0 will implement a process for schools to report to COD when Ability To Benefit was used to determine student eligibility for Grants and Direct Loans.

As part of the Schema Version 3.0d, the following fields on the next few slides will be processed for all program award blocks:

AbilityToBenefitTestAdministrator	
Code	Description
01	Assessment Center
02	Independent Test Administrator



COD Update

Ability to Benefit

AbilityToBenefitCode	
Code	Description
01	Test Completed
02	College Credits
03	State Process
04	Home Schooled
05	Other

AbilityToBenefitCompletionDate	
Valid Date Association	Requirements
01 = Test Completed	Ability To Benefit code of 01: CCYY-MM-DD
03 = State Process	Ability to Benefit code of 03: CCYY-MM-DD



COD Update

Ability to Benefit

AbilityToBenefitTestCode	
Code	Description
01	ASSET Program
02	Career Program Assessment (CPAT)
03	Combined English Language Skills Assessment (CELSA)
04	Compass Subtests (COMPASS)
05	Computerized Placement Tests (CPTs)
06	Descriptive Tests of Language Skills (DTLS)
07	ESL Placement Test (COMPASS/ESL)
08	Wonderlic Basic Skills Test (WBST)
09	WorkKeys Program
10	Test of Adult Basic Education (TABE)



COD Update

Ability to Benefit

New Edits for Ability to Benefit		
Edit	Message	Condition
195	Missing Ability To Benefit State Code and/or Ability To Benefit Completion Date	If the Ability To Benefit Code is 03, the record must contain a valid Ability to Benefit State Code and Ability to Benefit Completion Date
196	Invalid Completion Date for the Submitted Ability to Benefit Code	<p>If the Ability To Benefit Code is 03, the record must contain a valid Ability to Benefit Completion Date for the submitted Ability To Benefit State Code or the Ability to Benefit Completion Date is greater than the current COD processing date.</p> <p>If the Ability To Benefit Code is 01, the record must contain a valid Ability to Benefit Completion Date for the submitted Ability To Benefit Test Code or the Ability to Benefit Completion Date is greater than the current COD processing date.</p>
197	Missing Ability To Benefit Test Administrator Code, and/or Ability To Benefit Test Code, and/or Ability To Benefit Completion Date.	If the Ability To Benefit Code is 01, the record must contain a valid Ability to Benefit Test Administrator Code, Ability To Benefit Test Code, and Ability to Benefit Completion Date.



COD Update

Ability to Benefit

New Edits for Ability to Benefit		
Edit	Message	Condition
198	Incorrect Ability To Benefit Code submitted.	<p>If the Ability To Benefit Code is 02, 04, or 05, the record must not contain any of the following tags:</p> <ul style="list-style-type: none"> • <AbilityToBenefitTestAdministratorCode> • <AbilityToBenefitTestCode> • <AbilityToBenefitStateCode> • <AbilityToBenefitCompletionDate> <p>If the Ability To Benefit Code is 01, the record must contain the following tags, unless already stored on COD:</p> <ul style="list-style-type: none"> • <AbilityToBenefitTestAdministratorCode> • <AbilityToBenefitTestCode> • <AbilityToBenefitCompletionDate> <p>If the Ability To Benefit Code is 03, the record must contain the following tags, unless already stored on COD:</p> <ul style="list-style-type: none"> • <AbilityToBenefitStateCode> • <AbilityToBenefitCompletionDate> <p>*If attempting to change the Ability To Benefit Code to a value that does not require additional Ability To Benefit data elements, you must null out all the stored Ability To Benefit values currently stored on COD.</p>



Pell Verification Status Codes

An OIG audit (September 2007) identified that schools were misreporting verification status codes to COD.

- Findings were based on CPS students selected for verification and the status codes reported to COD
- FSA continues to analyze COD verification status code reporting for each award year
- Affected schools were contacted to review their procedures for accurately reporting verification status codes to COD and take appropriate corrective action
 - May need to submit new status codes or revise previously submitted verification status codes for affected students



Pell Verification Status Codes

Status Code	Description
V	The school has verified the student.
W	The student was selected for verification by the Central Processing System (CPS) or by the school, and the school chose to pay a first disbursement of the Pell Grant without documentation.
S	The CPS selected the student for verification, but the school did not verify the student for one of the following reasons: <ol style="list-style-type: none">1. The school was not required to verify the student in accordance with the regulations exceptions2. The school was not required to verify the student because it had already reached the 30% verification threshold (through 2010-2011)3. The school participates in the Quality Assurance Program, and the student's application did not meet the school's verification criteria

Two Pell Grants in One Award Year

To properly award two Pell Grants in one award year, the following steps must be taken:

- Set Additional Eligibility Indicator (AEI) to “true” when awarding funds from the student’s second Scheduled Award
 - Do not automatically set the AEI to “true” at the beginning of an award year for a student
 - Set AEI to “true” once verification of the student’s eligibility for a second Scheduled Award is complete
- Eligibility must be based on the rules as published in the Pell Grant Program Final Regulations: October 29, 2009
 - Eligibility is based on the payment period in which the student establishes eligibility for the second Scheduled Award



Two Pell's in One Award Year

Crossover Payments must be evaluated to ensure both 2009-2010/2010-2011 Pell's were awarded properly.

Reassignment may be required if:

- The student would receive greater payment within the deadline date for the first award year
 - 2010 Crossover Payment Period: September 10, 2010
- Information received after initial Crossover Payment Period assignment deadline
 - Cannot be later than the deadline date for administrative relief for the first award year
 - 2010 Crossover Payment Period: February 1, 2011

Two Pell's in One Award Year

Crossover Payments must be evaluated to ensure both 2010-2011/2011-2012 Pell's are awarded properly.

Reassignment ***IS*** required if:

- The student would receive greater payment within the deadline date for the first award year
 - 2011 Crossover Payment Period: September ??, 2011
- Information received after initial Crossover Payment Period assignment deadline
 - Cannot be later than the deadline date for administrative relief for the first award year
 - 2011 Crossover Payment Period: February ?, 2012

Two Pell's in One Award Year

If reassignment is required after the Crossover Payment evaluation, the following activities should be reviewed:

- *COD*: Reduce any disbursement from the lesser year and report disbursement in the higher award year
- *G5's Crossover Reporting*: Resolve a negative account balance
 - Usually only at the end of an award year
- *Institutional Accounting*: Ensure that institutional accounting records accurately reflect changes in a student's awards and credit balances

Two Pell's in One Award Year

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Person School Batch **Award** Services User Program

Create Award HELP

The fields marked with an asterisk (*) are required.

Common Record Information
Batch ID 2011-07-10T10:48:44.356
*Attending Entity ID Entity ID Type COD Entity ID

Student
Student Name EMILIO M CURRAN
SSN 014769976
Date of Birth 05/12/1969

Award Information
*Type PELL
*Award Year 10-11
*Award Amount \$0.00
*CPS Transaction Number
*Enrollment Date July 10 2011
*Cost of Attendance \$0.00
*Verification Status V

Common Record Information
Batch ID 2011-07-10T10:48:44.356
*Attending Entity ID Entity ID Type COD Entity ID

Student
Student Name EMILIO M CURRAN
SSN 014769976
Date of Birth 05/12/1969

Award Information
*Type PELL
*Award Year 10-11
*Award Amount \$0.00
*CPS Transaction Number
*Enrollment Date July 10 2011
*Cost of Attendance \$0.00
*Verification Status V
*Incarcerated
Additional Eligibility Indicator
School Use Only

VIEW PERSON DATA CREATE NEW DISBURSEMENT CANCEL SUBMIT

Additional Eligibility
Indicator
Checkbox



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Edit Statistics

Edit 56 & 58

Preventable - for the most part!

Edit	Block	Message	ACG Hits	SMART Hits	Pell Hits
056	Disbursement	Sequence Number Not In Sequential Order	80,069	19,617	1,617,281
058	Disbursement	Duplicate Disbursement Information On File	44,559	6,316	668,185
Total			124,628	25,933	2,285,466



Edit Statistics

Top 10 Pell Edits for 2009-2010

Edit	Error Message	Resolution	Pell Hits
100	Sender does not have reporting permission for the School identified in the Reporting School Entity ID.	Verify your School Entity ID.	59,771
56	Sequence Number Not In Sequential Order	Review disbursement transactions and disbursement sequence numbers for this disbursement and resubmit all transactions not yet accepted by COD in the proper order.	1,617,281
38	Student Not Pell Eligible	Review student's ISIR for Pell eligibility. If student is eligible, review transaction number reported. If incorrect, update and resubmit.	83,539
97	Disbursement amount would have been corrected to zero by COD calculations so the record was rejected	Review disbursement amount and resubmit disbursement record.	385,043



Edit Statistics

Top 10 Pell Edits for 2009-2010

Edit	Error Message	Resolution	Pell Hits
994	Missing Value	Review the Format and Valid Values cell corresponding to the rejected tag. Update record as appropriate and resubmit.	143,326
74	Incorrect Adjustment to Disbursement Release Indicator	No action required.	749,882
24	Reported CPS Transaction Number Does Not Match CPS	Compare SSN, Date of Birth and Last Name combination reported in the Common Record to the same data elements on the student's ISIR based on the reported CPS Transaction Number. If any of these identifiers do not match, resubmit with the corrected data. Review the Reported Transaction Number and ensure that you have an ISIR record on file for the student with that transaction number. If incorrect, update the Reported Transaction Number and resubmit.	62,029



Edit Statistics

Top 10 Pell Edits for 2009-2010

Edit	Error Message	Resolution	Pell Hits
14	Citizenship Status is not eligible for this award	If this data is correct, the student is not eligible for a loan. If this data is incorrect, update and resubmit. For grants, if the <CitizenshipStatusCode> was not submitted on the common record, it may be required if the student citizenship status could not be confirmed on the corresponding CPS transaction.	115,225
58	Duplicate Disbursement Information On File	No action is required. If you are attempting to make a change, resubmit with a higher sequence number.	668,185
996	Invalid Value	Review the Format and Valid Values cell corresponding to the rejected tag. Update record as appropriate and resubmit.	71,169
Total Top 10 Pell Edits for 2009-2010			3,954,559



Edit Statistics

Top 5 Pell Edits for 2010-2011

Edit	Error Message	Pell Hits
56	Sequence Number Not In Sequential Order	178,908
51	Disbursement Date With Disbursement Release Indicator Set to True Outside of Allowable Window	128,486
38	Award type listed is Pell and student is not Pell eligible according to CPS	85,174
74	Disbursement Release Indicator adjusted to "False" after disbursement was funded	83,727
97	A Pell Disbursement record triggered edit 067, Incorrect Disbursement Amount, and the school's Error Processing Option has been set for COD to correct Pell data; however, based on COD calculations, the Disbursement Amount would have been corrected to \$0.00. Therefore the disbursement record is rejected	76,563
Total Top 5 Pell Edits for 2010-2011		552,858



COD Tech Ref Update

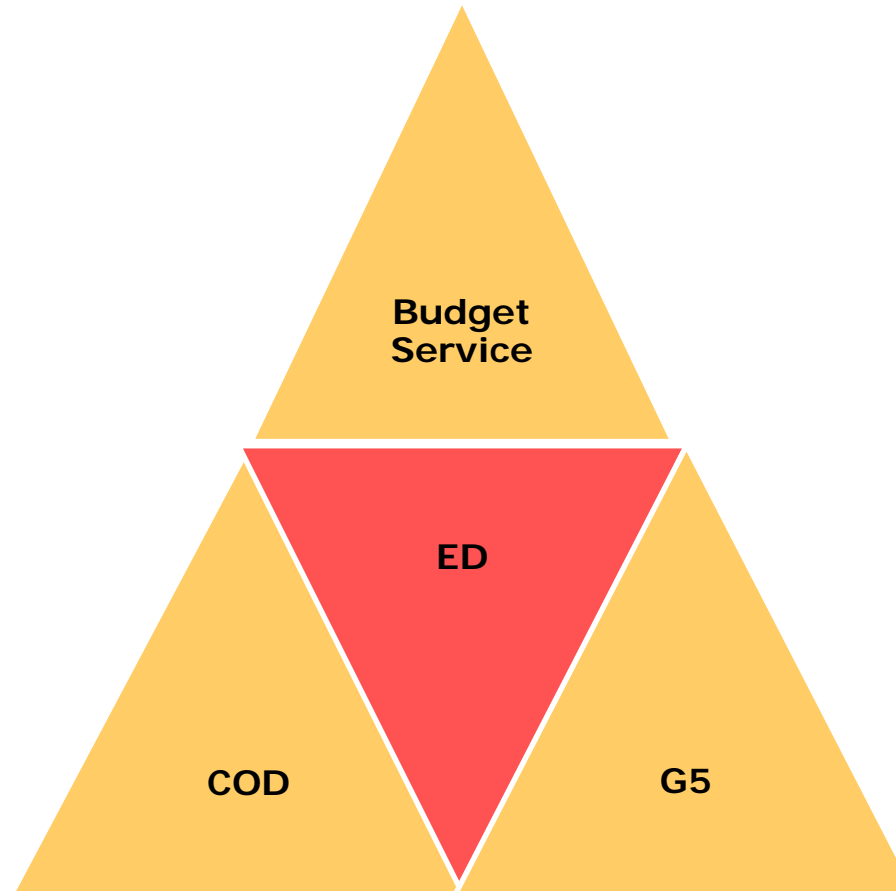
Date	Tech Ref Updates
September 2010	New 3.0d schema was published in the COD Tech Ref
November 2010	New Business Rules were Published in the COD Tech Ref
Winter 2011	COD Release 10.0 project updates
Summer 2011	COD Release 10.1 project updates



Agenda

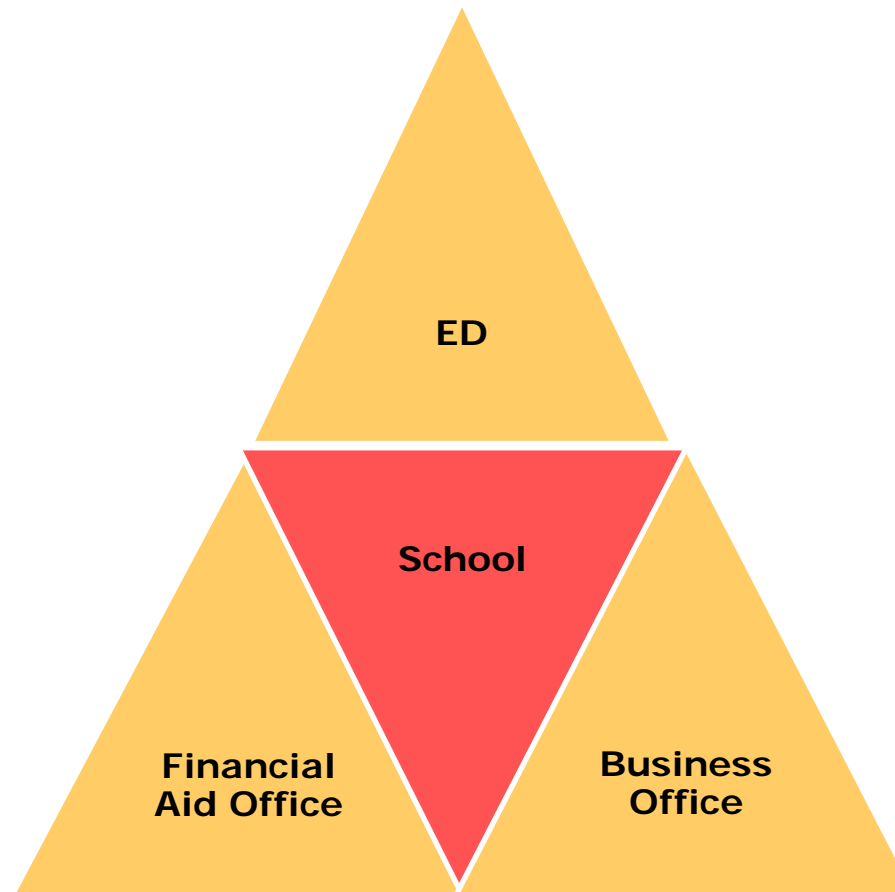
- Grant Operations Overview
 - COD Update for IASG, Pell, ACG, National SMART Grants
 - Pell Program Overview
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- Grant Funding Overview
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 - Cash Management
 - G5 Funding

Iron Triangle of Funds Management: Department of Education

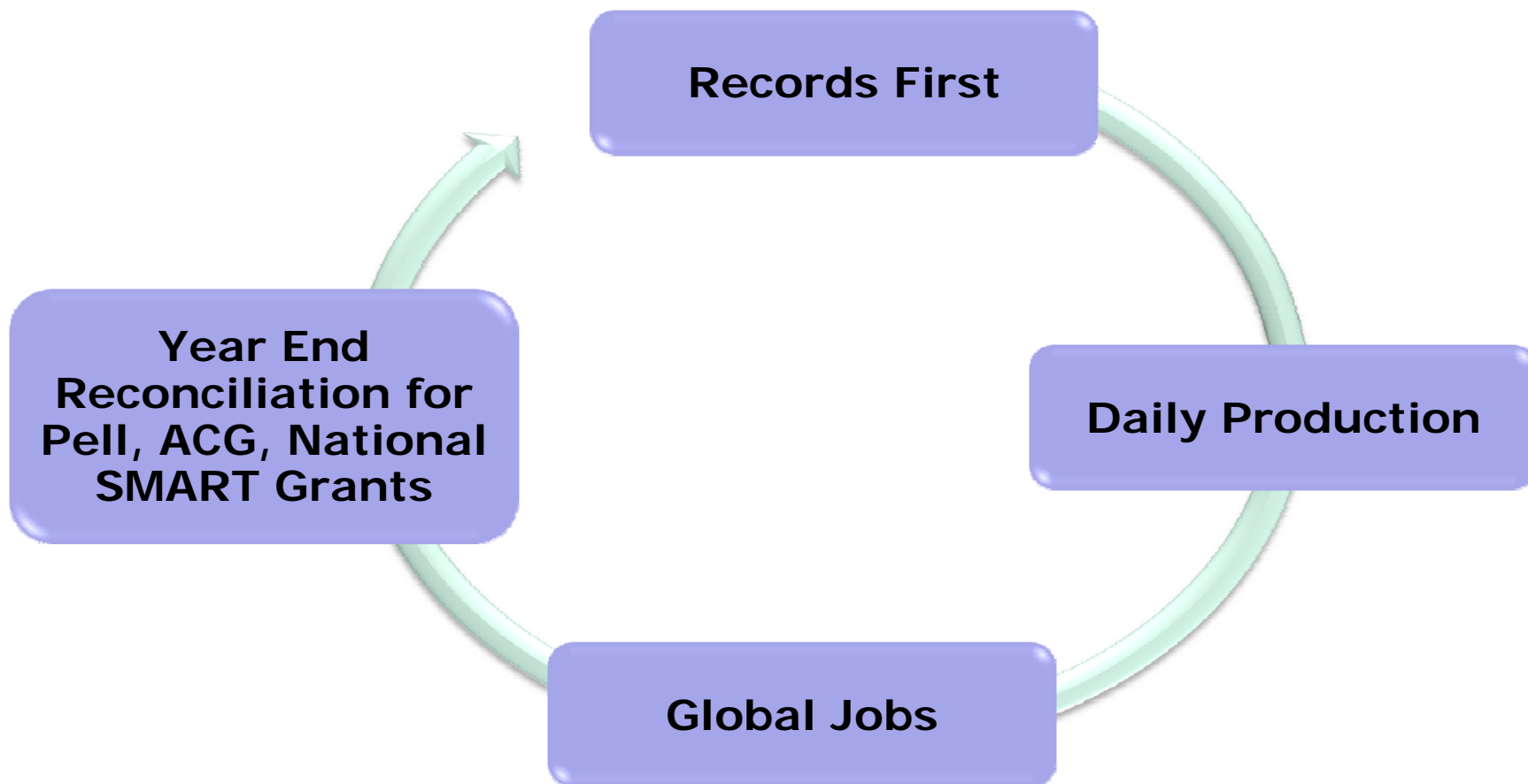


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Iron Triangle of Funds Management: School



Funding Lifecycle



Initial Authorization Change

Records First

Beginning with the 2011-2012 award year, the COD System will no longer system generate Initial Authorizations for the Pell Grant Program.

- Schools will not receive an authorization until COD receives/accepts records
- All schools will receive a Current Funding Level (CFL) once the COD System has accepted and posted actual disbursement records for that award year
 - 2011-2012 Pell Grant Funding will be provided on a “records first” basis for all institutions
- A new Electronic Statement of Account will be sent to a school each time its Pell Grant CFL amount changes

Initial Authorization Change

Global Jobs

Four program-wide reduction jobs:

1. Pell Reduction to Net Accepted and Posted Disbursements (NAPD)
2. Pell W Verification Reduction
3. ACG & SMART No Pell De-obligation
4. Pell, ACG & SMART Reduction to GAPS Net Drawdown

Initial Authorization Change

Global Jobs

Date	Operational Task
January 2011	2010-2011 Pell Reduction to Net Accepted and Posted Disbursements (NAPD) <i>Warning</i>
February 2011	2010-2011 Pell Reduction to Net Accepted and Posted Disbursements (NAPD) <i>Reduction</i>
February 2011	2008-2009 Pell Reduction to GAPS Net Drawdown <i>Warning</i>
March 2011	2008-2009 Pell Reduction to GAPS Net Drawdown <i>Reduction</i>
March 2011	2008-2009 ACG & SMART Reduction to GAPS Net Drawdown <i>Warning</i>
April 2011	2008-2009 ACG & SMART Reduction to GAPS Net Drawdown <i>Reduction</i>
April 2011	2010-2011 Pell First Verification W <i>Warning and Update</i>
August 2011	2010-2011 Pell Second Verification W <i>Warning and Update</i>



Daily Production

COD 24-Hour Turnaround

Disbursement Record Deadlines

10:00 A.M. ET in COD - Same Day

10:00 P.M. ET in COD - Next Day



Submit Disbursement Records

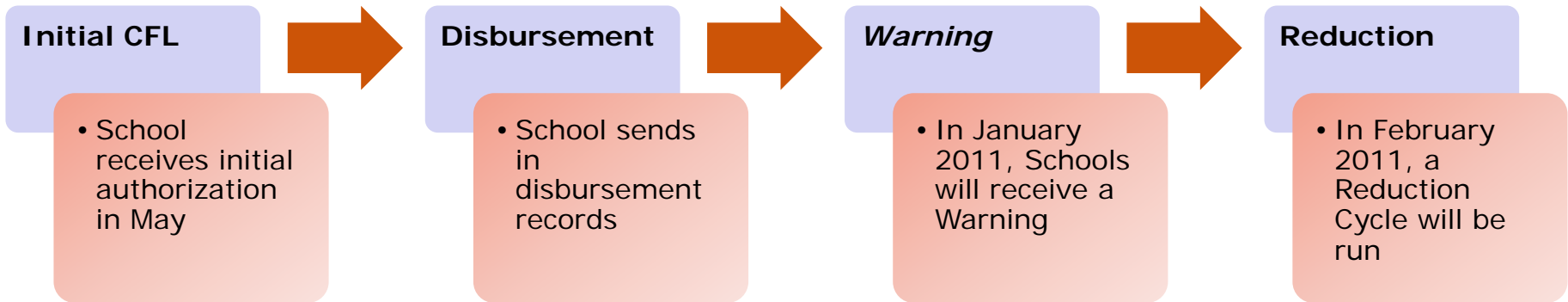
Pell Grant

- 7 days before COD sends funding transaction to G5
 - Starting with 2010-2011, the number of days for the submission of disbursement records changed from 30 to 7

ACG & National SMART Grant

- 7 Days in advance of disbursement date
- 7 days before COD sends funding transaction to G5

Pell Reduction to NAPD Records



- Reduction cycle will reduce the authorization to equal Net Accepted and Posted Disbursements (NAPD)
- For the 2009-2010 Award Year, 314 schools reduced by \$4.1 million

Pell Reduction to NAPD Records

Example

May 2010:

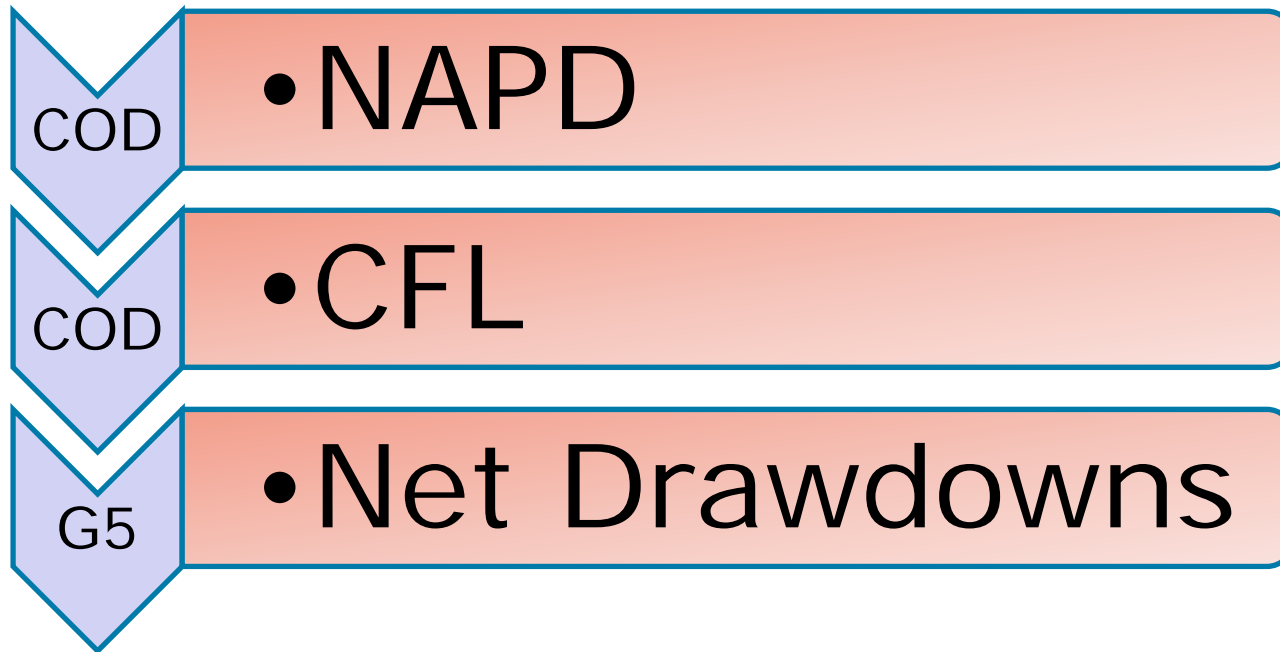
Initial Authorization - \$1,000,000

January 2011:

NAPD - \$500,000

Reduction - \$500,000

Stay Reconciled



Stay Reconciled

- Goal is to have a Zero Available Balance for all award years
- Always verify and compare both COD and G5 systems to see available balance



Stay Reconciled

Internal Reconciliation

- Comparison of Business Office records of funds requested, received, disbursed, and returned to Financial Aid Office records of funds awarded

External Reconciliation

- Comparison of reconciled internal records to ED's records of grants or loans originated and disbursed

School Funding Information

Web Screen Example

School of Outstanding Students

Funding Info

Program Pell **Award Year** 10-11

Entity ID	44544444
Initial CFL	\$100,000
Current CFL	\$200,000
Available Balance	\$0
Cash > Net Accepted & Posted Disbursements	\$0
Net Accepted & Posted Disbursements	\$200,000
Net Drawdowns	\$200,000
Disbursement To Drawdown Ratio	1.0000

Reconciliation Tools

Reconciliation File

- Snapshot
- Mass mailing in September for Pell, ACG & National SMART

YTD File

- Comprehensive

Reconciliation Message Classes

Program	Award Year	Message Class
Pell	2010-2011	PGRC11OP
Pell	2011-2012	PGRC12OP
ACG	2010-2011	AGRC11OP
SMART	2010-2011	SGRC11OP



Reduction to G5 Net Drawdown

Pell, ACG, National SMART Grant

- 2008-2009 Award Year Reduction to G5 Net Drawdown is scheduled for March 2011
- 2007-2008 Award Year –749 schools; \$10.1 million
- Schools not in compliance with 30-day reporting rule
- Continued customer service outreach

Reduction to G5 Net Drawdown

Example

Authorization: \$1,000,000

Drawdown: \$800,000

Reduction to G5 Net Drawdown

Updated Authorization: \$800,000

Individual Reductions

Pell Potential Overaward Process (POP)

POP occurs when more than one Attended School reports disbursements for a student.

- Only for Pell, not in ACG & National SMART Grant
- COD System checks to make sure that the student has not received more than 100% of his/her eligibility

Individual Reductions

Negative Pending Records

Occurs if an update to a Pell produces an award amount that is less than the total of all accepted and posted Disbursement Amounts for that award.

- COD System creates a Negative Pending Record for that award
 - The Response contains the Reject Edit 040 and the Negative Pending Amount tag

Post-Deadline Processing

Post-Deadline Processing is provided for extended processing, audit adjustment, and resolution of POP situations.

- By September 30, data must be submitted following the end of the award year in which the Pell Grant is processed
- Schools request on the Web
- Request only when you are ready to submit

Post-Deadline Processing

- 30 days to resubmit records for administrative leave or extended processing
- 15 days for a second request
- Continued follow-up



Closeout/Reconciliation

For Award Year 2010-2011, schools ***SHOULD*** be reconciled by September 30, 2011

Must closeout within...

- **Pell:** five years
- **ACG, SMART:**
 - 2006-2007, 2007-2008, 2008-2009: four years
 - 2009-2010 and 2010-11: five years

Pell Administrative Cost Allowance (ACA)

Three times during an award year cycle

For 2011-2012:

March 2011

August 2011

August 2012

NO DRAWDOWNS

- Payments sent directly to bank accounts by G5 for ACA only

Cash Management Requirements

30-Day Reporting Requirement

- Report changes within 30 days after becoming aware of changes
- Student records need to be sent 30 days from drawdown

3-Day Cash Rule

- Only draw down cash for use within three days



Excess Cash

Excess cash includes any Title IV funds received from ED that are deposited or transferred into a federal account.

- Would be a result of an award cancellation, adjustment, or recovery
- Tolerance -- 1% of prior year amount
- Finding may trigger cash monitoring or reimbursement

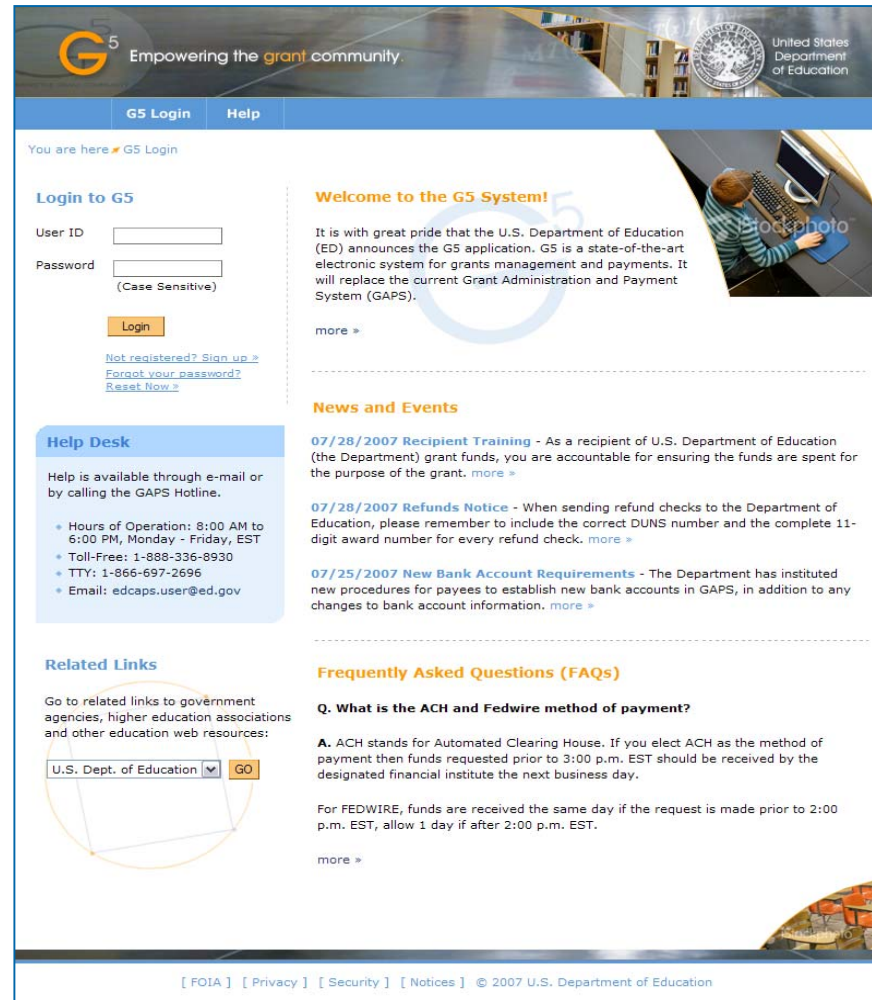
G5 - Payment System

G5 is the Department of Education's payment system.

- Provides the ability to send refunds electronically
 - Do NOT use paper checks!
- Registration to G5 is available through an online form
 - Access is granted/denied between 1-2 business days
 - Recommend FAA get read-access

*G5 Assistance available in the
FSA Conference PC Lab!*

G5 - Payment System Homepage



The screenshot shows the G5 Payment System homepage. At the top, there is a navigation bar with 'G5 Login' and 'Help' links. Below this, the page is divided into several sections: a login area on the left, a 'Welcome to the G5 System!' message in the center, and a 'News and Events' section on the right. The login area includes fields for 'User ID' and 'Password' (noted as case sensitive), a 'Login' button, and links for 'Not registered? Sign up', 'Forgot your password?', and 'Reset Now'. The 'Help Desk' section provides contact information for the GAPS Hotline, including hours of operation (8:00 AM to 6:00 PM, Monday-Friday, EST), toll-free number (1-888-336-8930), TTY (1-866-697-2696), and email (edcaps.user@ed.gov). The 'Related Links' section features a search box with a dropdown menu set to 'U.S. Dept. of Education' and a 'GO' button. The 'News and Events' section contains three entries: '07/28/2007 Recipient Training', '07/28/2007 Refunds Notice', and '07/25/2007 New Bank Account Requirements', each with a 'more >' link. The 'Frequently Asked Questions (FAQs)' section includes a question about ACH and Fedwire payment methods, with a detailed answer explaining the timing of fund receipt. The footer contains links for FOIA, Privacy, Security, and Notices, along with a copyright notice for 2007 U.S. Department of Education.

G⁵ Empowering the grant community

United States Department of Education

[G5 Login](#) [Help](#)

You are here [G5 Login](#)

Login to G5

User ID

Password
(Case Sensitive)

[Not registered? Sign up >](#)
[Forgot your password?](#)
[Reset Now >](#)

Help Desk

Help is available through e-mail or by calling the GAPS Hotline.

- Hours of Operation: 8:00 AM to 6:00 PM, Monday - Friday, EST
- Toll-Free: 1-888-336-8930
- TTY: 1-866-697-2696
- Email: edcaps.user@ed.gov

Related Links

Go to related links to government agencies, higher education associations and other education web resources:

Welcome to the G5 System!

It is with great pride that the U.S. Department of Education (ED) announces the G5 application. G5 is a state-of-the-art electronic system for grants management and payments. It will replace the current Grant Administration and Payment System (GAPS).

[more >](#)

News and Events

07/28/2007 Recipient Training - As a recipient of U.S. Department of Education (the Department) grant funds, you are accountable for ensuring the funds are spent for the purpose of the grant. [more >](#)

07/28/2007 Refunds Notice - When sending refund checks to the Department of Education, please remember to include the correct DUNS number and the complete 11-digit award number for every refund check. [more >](#)

07/25/2007 New Bank Account Requirements - The Department has instituted new procedures for payees to establish new bank accounts in GAPS, in addition to any changes to bank account information. [more >](#)

Frequently Asked Questions (FAQs)

Q. What is the ACH and Fedwire method of payment?

A. ACH stands for Automated Clearing House. If you elect ACH as the method of payment then funds requested prior to 3:00 p.m. EST should be received by the designated financial institute the next business day.

For FEDWIRE, funds are received the same day if the request is made prior to 2:00 p.m. EST, allow 1 day if after 2:00 p.m. EST.

[more >](#)

[FOIA] [Privacy] [Security] [Notices] © 2007 U.S. Department of Education



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G5 - Important Dates

During December 2010, the G5 system will briefly be unavailable for enhancements.

G5 System Shutdown	December 10, 2010 3pm EST
G5 System Start-Up	December 20, 2010 6am EST

Please ensure that all G5 activity including drawdowns and returns have been completed prior to the outages.

CCR Information Update

Central Contractor Registration (CCR) is a program sponsored by GSA

- Required to provide your Grantee DUNS Number
 - Also, update your Grantee DUNS Number in the event it changes
- Annual update required to maintain access
- Must register Grantee DUNS number

CCR Information Update

The screenshot shows the Central Contractor Registration (CCR) website. At the top, there is a blue header with the text "Central Contractor Registration" and an American flag graphic. Below the header is a navigation menu with links: CCR Home, CCR Search, Federal Agency Registration, News, Release Notes, Request Data Access, and Help. A secondary menu includes Contractors, Grantees, International Registrants, Small Businesses, Security Notes, and 601,775 Active Registrants.

On the left side, there is a "Quick Links" section with a list of links: Dynamic Small Business Search, ORCA, SBA, Request DUNS Number, and Federal Business Opportunities. A blue accessibility icon is also present.

The main content area is titled "Welcome to Central Contractor Registration (CCR)". Below this, a paragraph explains that CCR is the primary registrant database for the U.S. Federal Government, collecting, validating, storing, and disseminating data. A link "Learn more about CCR Policy and Background" is provided.

There are two main sections in the center: "Log in to CCR" and "Create New Registration". The "Log in to CCR" section contains a form with fields for "User ID:" and "Password:", a "Log In" button, and links for "Forgot Password" and "Forgot User ID". The "Create New Registration" section has a "Start New Registration" button and a link "What You Need to Register International Registrants". A note below states: "Note: New registrations usually take 3-5 business days to process once completed by the vendor."

On the right side, there is a section titled "CCR Registrations Over Time" featuring a line graph. The graph is titled "CCR Registrations - Checking Contracts, Grants, or Both Over Time". The y-axis represents the number of registrations, ranging from 0 to 30,000. The x-axis shows dates from 4/1/2005 to 4/1/2010. Three data series are plotted: "Contracts" (red line), "Grants" (green line), and "Contracts and Grants" (blue line). The "Contracts" series shows the highest volume, fluctuating between approximately 15,000 and 20,000. The "Grants" series is around 10,000, and the "Contracts and Grants" series is around 5,000. Below the graph is a link: "Click the image to see full size."



Payee vs. Grantee DUNS

Grantee DUNS Number

- DUNS number of the recipient who applied for and was awarded a grant

Payee DUNS Number

- DUNS number used to process payments
- Linked to bank account(s)
- Users register with their Payee DUNS number when requesting access to process payments/refunds/adjustments via G5

Payee vs. Grantee DUNS

In most cases, the Grantee and Payee DUNS numbers are the same

- They differ when a Grantee elects another DUNS number to manage their payment/refund/adjustment requests


Unprocessed Deobligation (UD)

- Excess Cash
- Created when a school submits or COD creates a decrease CFL transaction below the amount already drawn

Example:

- CFL = \$1,000,000
- Drawdown = \$1,000,000
- Available balance in G5 = \$0
- School submits or COD creates a \$1,000 decrease = a \$1,000 UD
- School must refund \$1,000 to G5, or submit a correction record in COD

Resources

Resource	Location
COD Technical Reference	http://ifap.ed.gov/ifap/byAwardYear.jsp?type=codtechref&display=single
FSA Training for Financial Aid Professionals	http://www2.ed.gov/offices/OSFAP/training/index.html
Training on Specific Functions	http://www2.ed.gov/offices/OSFAP/training/specific.html
COD Initiated Grant Activity Fall 2010	 <p>COD Initiated Grant Activity Fall 2010</p>
COD Computer Based Training	http://ifap.ed.gov/codcbt/CODCBT.html
Two Pells Webinars	http://www2.ed.gov/offices/OSFAP/training/distanceed/downloads.html

Related COD Sessions

Session #	Title
7	Direct Loan Funding & Cash Management <i>(includes G5)</i>
11	Using EExpress for New FAAs
29	Two Pell's in One Award Year with Q&A
25	General Provisions & Non-Loan Program Issues – Institutional
26	General Provisions & Non-Loan Program Issues – Student



Contact Information

Dan Sullivan

Sr. Program Specialist,
Grants & Campus Based Division

daniel.sullivan@ed.gov

202-377-3114

LaShae Jones

Chief, Funds Control Branch
Internal Controls Division

lashae.jones@ed.gov

202-377-3121



Need Help?

COD School Relations Center

URL: <http://www.cod.ed.gov>

E-mail: CODSupport@acs-inc.com

Phone - Grants: 1-800-474-7268

G5 Help desk

Phone: 1-888-336-8930



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