Session # 24

IRS Data Retrieval Process

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Agenda

- What is the IRS Data Retrieval Tool?
- How will it work?
- Who can use it?
- When can they use it?
- What's new for 2009-10?
- What's new for 2010-11?
- Verification?
- Ideas for the future?

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IRS Data Retrieval Tool

- Federal Student Aid and the Internal Revenue Service collaborated to develop a solution that simplifies FAFSA completion.
- The IRS Data Retrieval tool will allow FAFSA on the Web applicants to request and retrieve their income and tax data from the IRS.
- Once the data is retrieved from the IRS, it can be transferred to FOTW.

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HOW WILL IT WORK?

Applicant will select “Fill Out Your FAFSA”…

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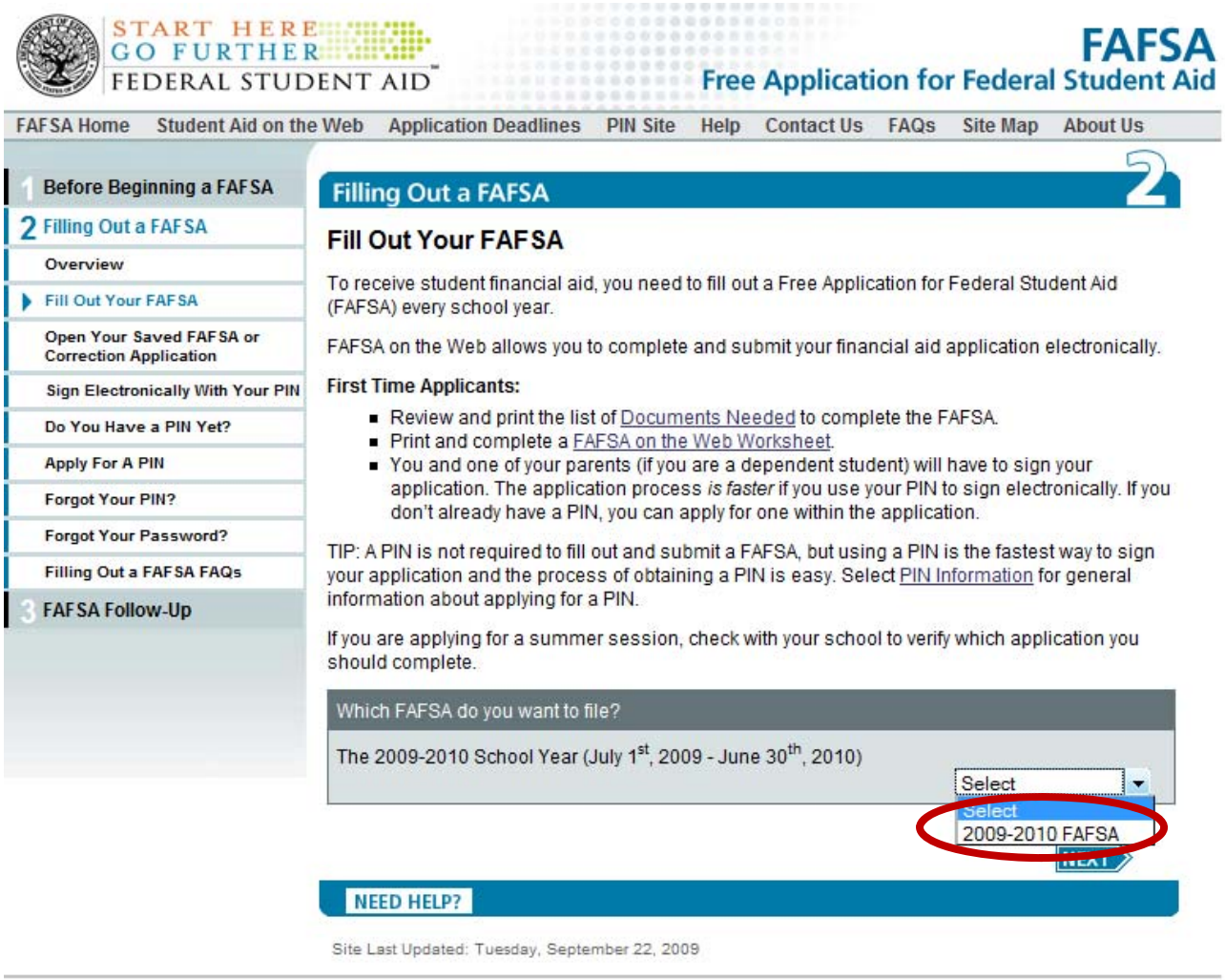
Applicant will select “2009-2010 FAFSA”…



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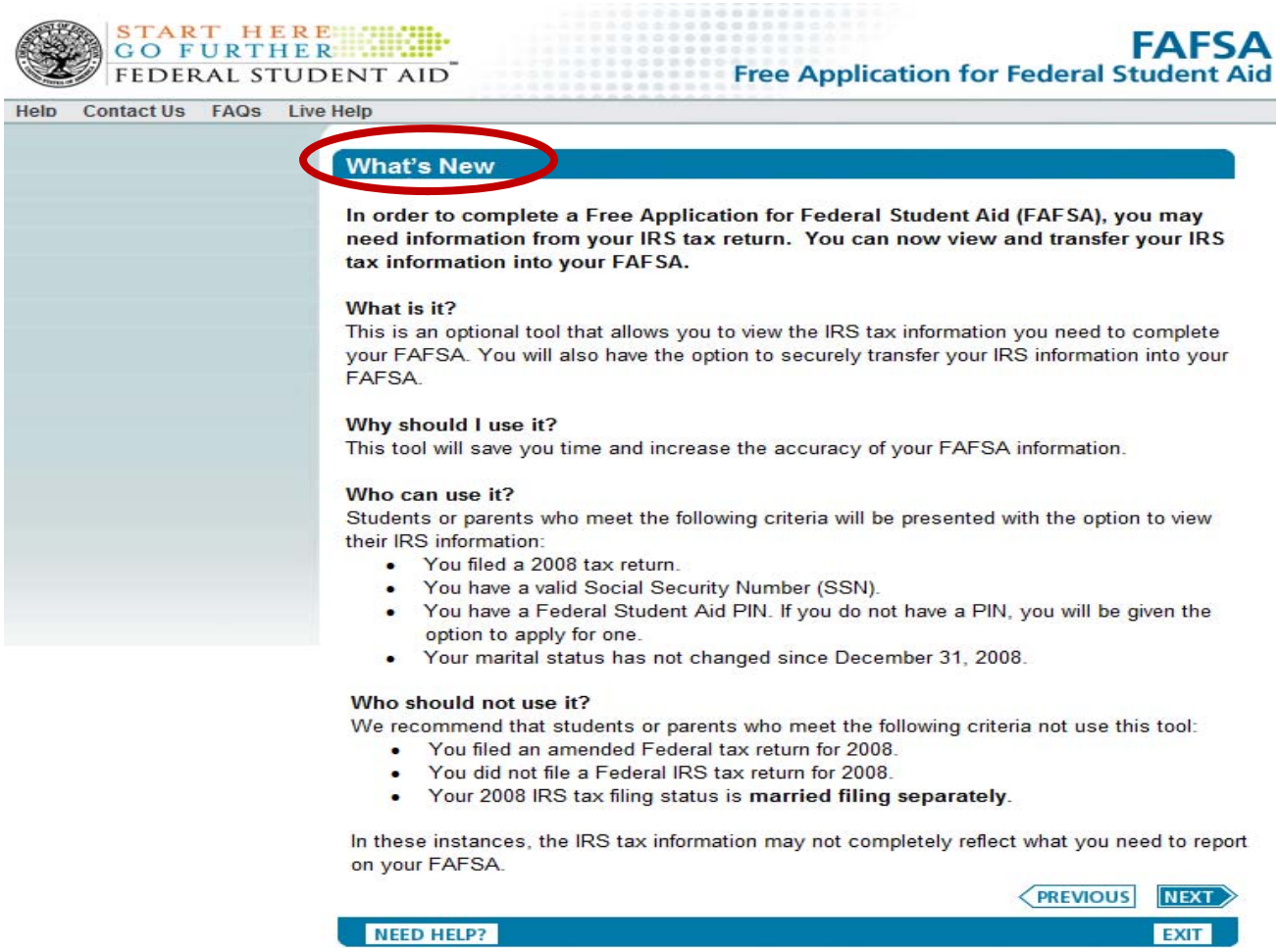
Applicant will see a “What’s New” page…



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Applicant will begin the normal application process…

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FAFSA
Free Application for Federal Student Aid

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Filling Out a FAFSA – 2009-2010

Fill Out a FAFSA

Form Approved OMB No. 1845-0001 App. Exp. 6/30/10

Complete the following questions and select Next.

FAFSA on the Web	
The student's Social Security Number: Enter the SSN that is printed on the student's Social Security Card. Enter this number without the dashes. For example, 123456789.	
Re-enter the student's Social Security Number: Re-enter the student's SSN to verify that it is correct.	
The student's full last name:	
The student's first name:	
The student's Date of Birth: Enter this date in "mmddyyyy" format. For example, 08171975 for August 17, 1975.	
Create a Password (4 to 8 characters; differentiate between capital and lower case letters): If you do not finish the application, the password allows you to come back to the saved application and continue where you left off.	
Re-enter the Password:	

PREVIOUS NEXT

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Info About You (the Student)

The following FAFSA questions are not asked in the same order as they are on the paper FAFSA. For your reference, we have included the paper FAFSA question numbers in parentheses after each question.

Your last name (question 1):	DOE
Your first name (question 2):	JOHN
Your middle initial (question 3):	
Your permanent mailing address (include apt. number) (question 4):	Only use letters (A-Z), numbers (0-9), periods (.), commas (,), apostrophes ('), dashes (-), number symbols (#), at symbols (@), percent symbols (%), ampersands (&), slashes (/), or blanks (spaces). No other characters are allowed. Use street address abbreviations such as apt (apartment) or ave (avenue) if the address extends beyond the space provided.
Your city (and country if not U.S.) (question 5):	
Your state (question 6):	Select
Your ZIP Code (question 7):	
Your Social Security Number (question 8):	(Data cannot be entered in this field.) 224-65-7778
Your date of birth (question 9):	Enter this date in "mmddyyyy" format. For example, 08171975 for August 17, 1975. 01011990
Your permanent telephone number (question 10):	Provide the area code first. Enter the numbers, without parentheses and dashes. For example, 2025551212.
Your driver's license number (if any) (question 11):	
Your driver's license state (question 12):	Select
Are you a U.S. citizen (question 14)?	Select

PREVIOUS NEXT

NEED HELP? SAVE VIEW FAFSA SUMMARY EXIT

Info About You (the Student)

What is your marital status as of today (question 16)?	Select
Month and year you were married, separated, divorced, or widowed (question 17):	If divorced, use date of divorce or separation, whichever is earlier. Enter this date in "mmyyyy" format. For example, 081996 for August, 1996.
What is your state of legal residence (question 18)?	Select
Did you become a legal resident of this state before January 1, 2004 (question 19)?	Select

PREVIOUS NEXT

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Your Dependency Status

Answer the following questions relating to your dependency status:

Were you born before January 1, 1986 (question 48)?	No
As of today, are you married? (Answer "Yes" if you are separated, but not divorced.) (question 49)	No
At the beginning of the 2009-2010 school year, will you be working on a master's or doctorate program (such as an MA, MBA, MD, JD, PhD, EdD, or graduate certificate, etc.) (question 50)?	No
Are you currently serving on active duty in the U.S. Armed Forces for purposes other than training (question 51)?	No
Are you a veteran of the U.S. Armed Forces (question 52)?	No

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Your Parents' Information

What is your parents' marital status as of today (question 61)?	Married or Remarried
Month and year your parents were married, separated, divorced, or widowed (question 62):	If divorced, use date of divorce or separation, whichever is earlier. Enter this date in "mmyyyy" format. For example, 081996 for August, 1996.

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Your Parents' Information

Enter your parents' e-mail address and they will be notified when your FAFSA has been processed. If your parent(s) do not have an email address, leave this field blank.

Federal Student Aid will not use or distribute your parents' e-mail address for any purpose other than federal student financial aid. See the Privacy Ad for more information on the way we use all the data provided on the FAFSA.

To ensure receipt of e-mails from Federal Student Aid, we recommend your parents add our e-mail address, **FederalStudentAidFAFSA@cpsemail.ed.gov**, into their e-mail address book.

Your parents' e-mail address (if any) (question 71):	Type your parents' e-mail address using upper or lower case letters exactly as it is recognized by their e-mail provider.
Re-enter your parents' e-mail address:	

Your father's/stepfather's and mother's/stepmother's Social Security Numbers, last names, first initials and dates of birth must match the information currently on file with the Social Security Administration.

What is your father's/stepfather's Social Security Number (question 63)?	Enter this number without the dashes. For example, 123456789. If your father/stepfather does not have a Social Security Number, enter 000000000. 123456789
What is your father's/stepfather's last name (question 64)?	Doe
What is your father's/stepfather's first initial (question 65)?	J
What is your father's/stepfather's date of birth (question 66)?	Enter this date in "mmddyyyy" format. For example, 08171975 for August 17, 1975. 08171950
What is your mother's/stepmother's Social Security Number (question 67)?	Enter this number without the dashes. For example, 123456789. If your mother/stepmother does not have a Social Security Number, enter 000000000. 123456780
What is your mother's/ stepmother's last name (question 68)?	Doe
What is your mother's/stepmother's first initial (question 69)?	M
What is your mother's/stepmother's date of birth (question 70)?	Enter this date in "mmddyyyy" format. For example, 08171975 for August 17, 1975. 08171950

PREVIOUS NEXT

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Applicant will now see the option to access the IRS Data Retrieval Tool…

Applicant will have to authenticate using the FSA PIN.

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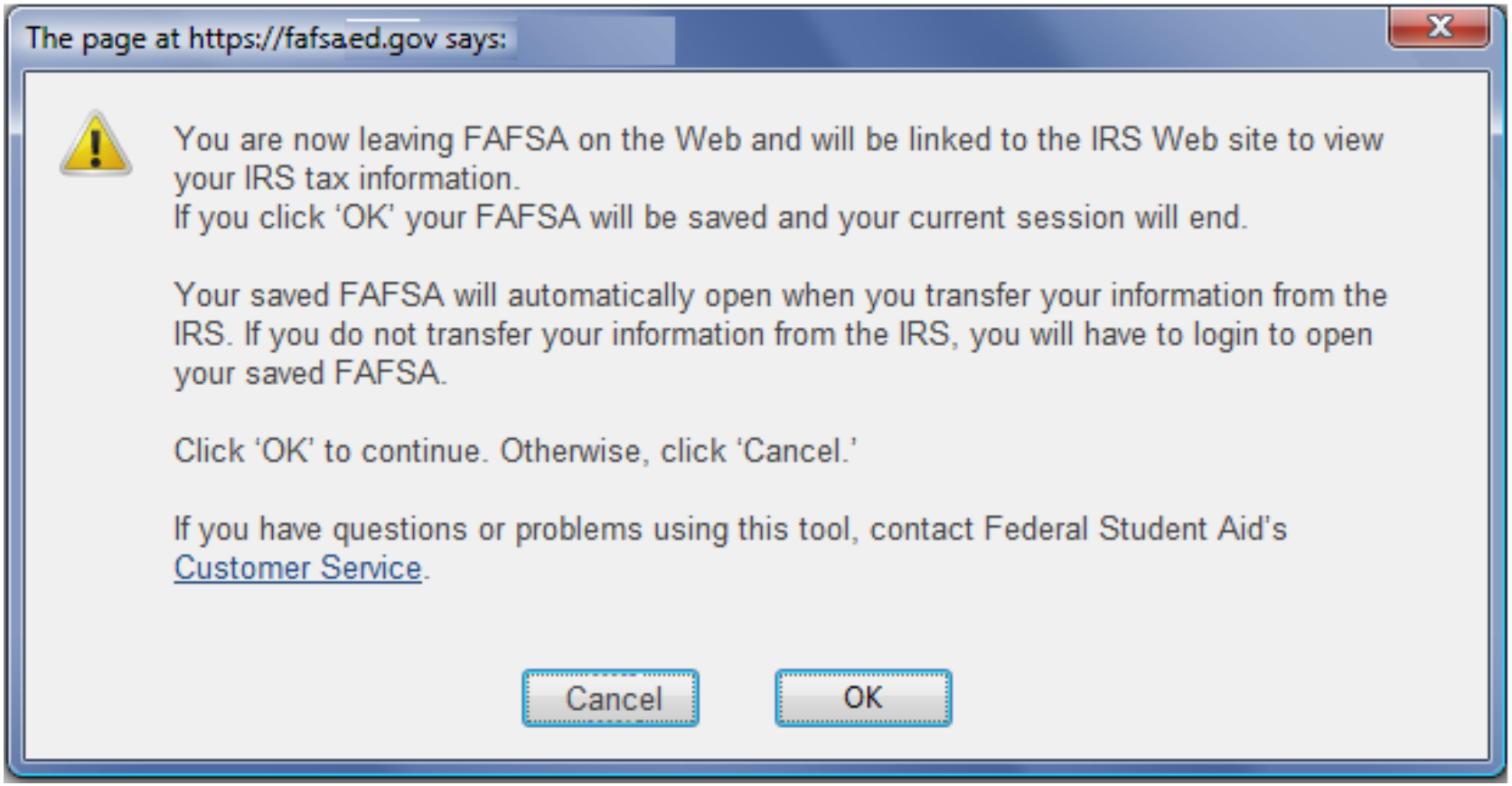
A site exit message will display…



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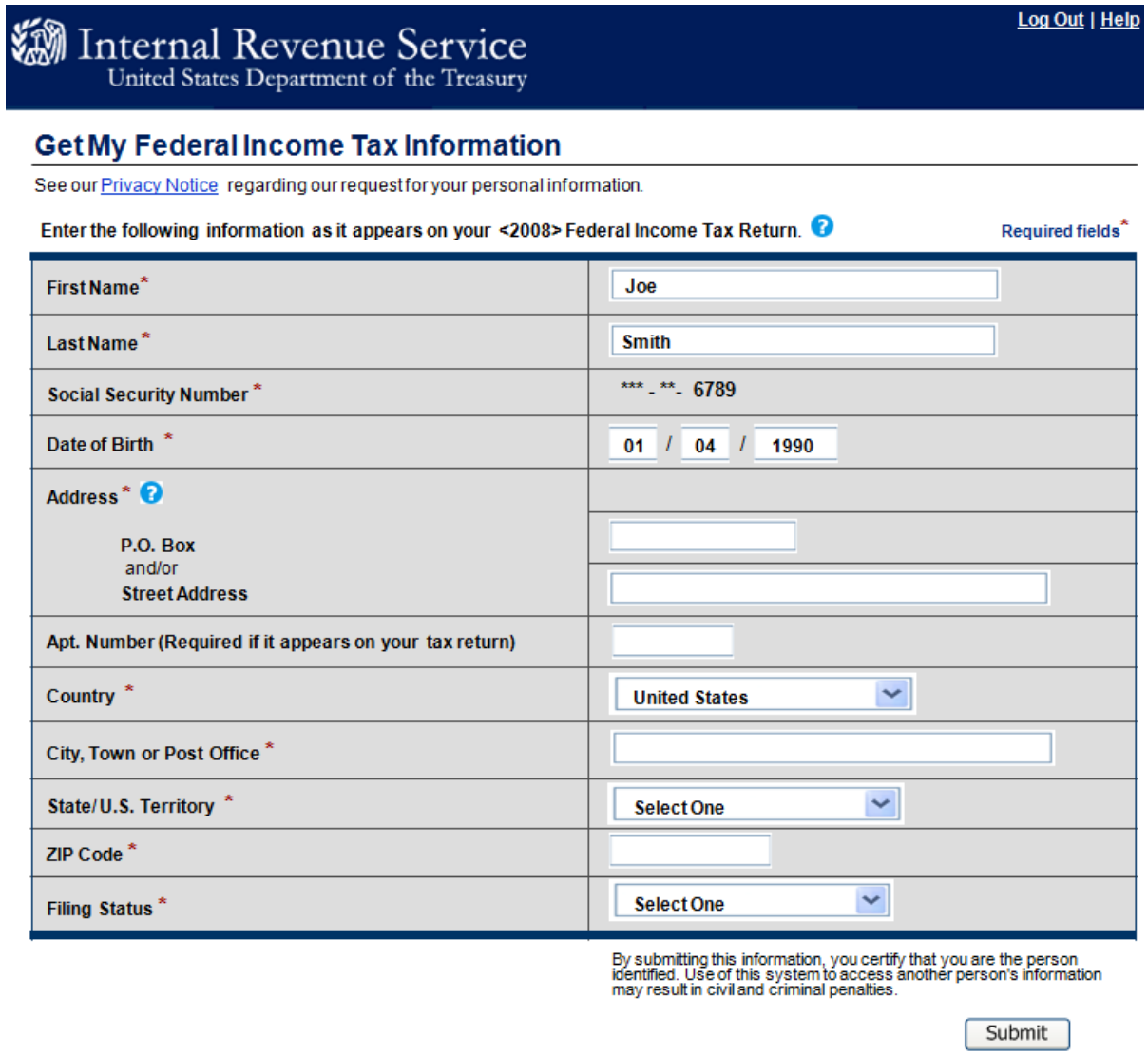
Applicant is now at "Get My Federal Income Tax Information" on the IRS web site…

Applicants will have to authenticate with the IRS



Internal Revenue Service
United States Department of the Treasury

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Get My Federal Income Tax Information

See our Privacy Notice regarding our request for your personal information.

Enter the following information as it appears on your <2008> Federal Income Tax Return.

Required fields*

First Name*	Joe
Last Name*	Smith
Social Security Number*	***-**-6789
Date of Birth*	01 / 04 / 1990
Address*	
P.O. Box and/or Street Address	
Apt. Number (Required if it appears on your tax return)	
Country*	United States
City, Town or Post Office*	
State/U.S. Territory*	Select One
ZIP Code*	
Filing Status*	Select One

By submitting this information, you certify that you are the person identified. Use of this system to access another person's information may result in civil and criminal penalties.

Submit

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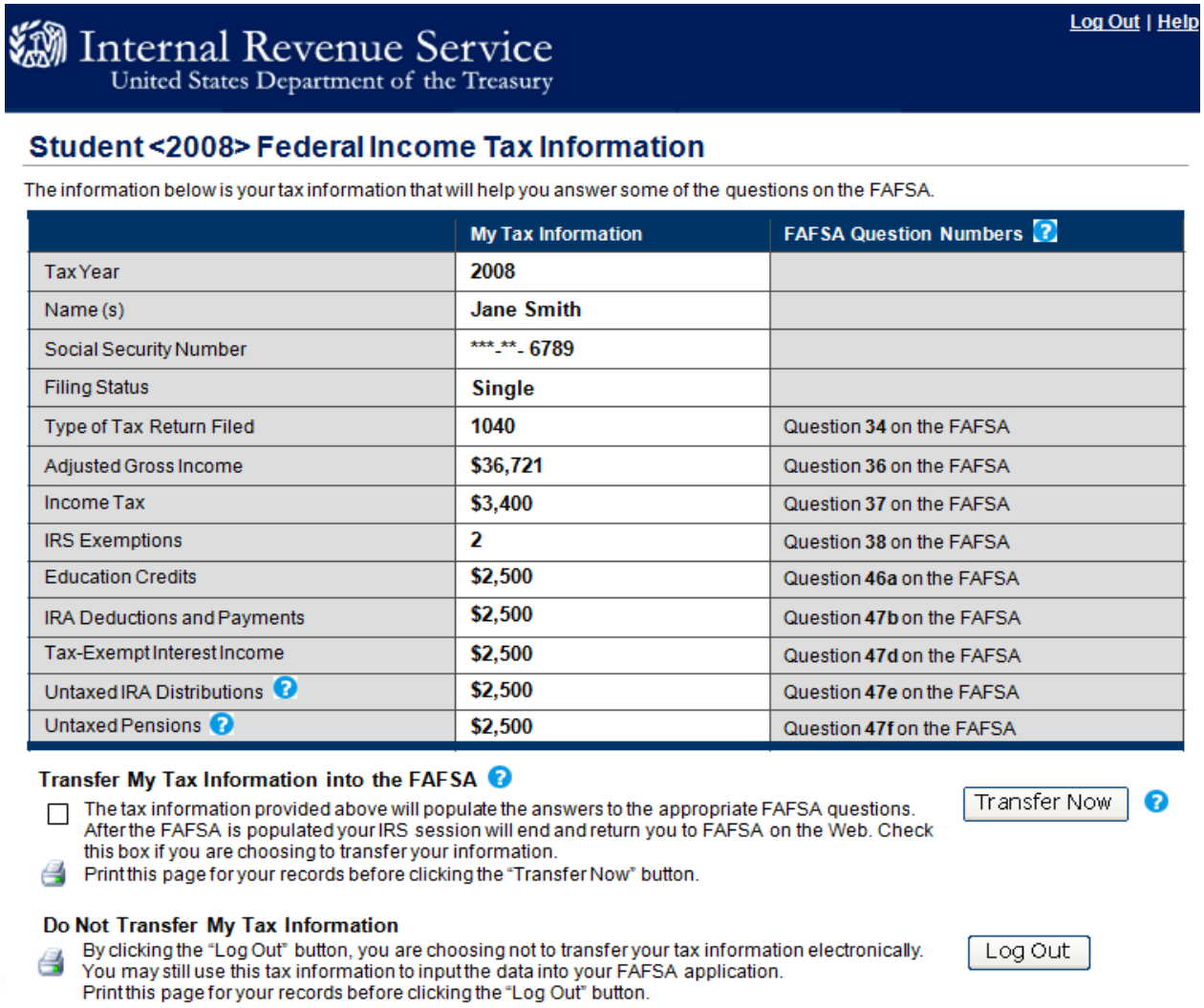
If the IRS authenticates the taxpayer, Federal Income Tax Info will be provided…

1040 or 1040A



Internal Revenue Service
United States Department of the Treasury

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Student <2008> Federal Income Tax Information

The information below is your tax information that will help you answer some of the questions on the FAFSA.

	My Tax Information	FAFSA Question Numbers
Tax Year	2008	
Name (s)	Jane Smith	
Social Security Number	***-**- 6789	
Filing Status	Single	
Type of Tax Return Filed	1040	Question 34 on the FAFSA
Adjusted Gross Income	$36,721	Question 36 on the FAFSA
Income Tax	$3,400	Question 37 on the FAFSA
IRS Exemptions	2	Question 38 on the FAFSA
Education Credits	$2,500	Question 46a on the FAFSA
IRA Deductions and Payments	$2,500	Question 47b on the FAFSA
Tax-Exempt Interest Income	$2,500	Question 47d on the FAFSA
Untaxed IRA Distributions	$2,500	Question 47e on the FAFSA
Untaxed Pensions	$2,500	Question 47f on the FAFSA

Transfer My Tax Information into the FAFSA

☐ The tax information provided above will populate the answers to the appropriate FAFSA questions. After the FAFSA is populated your IRS session will end and return you to FAFSA on the Web. Check this box if you are choosing to transfer your information.

Print this page for your records before clicking the "Transfer Now" button.

Transfer Now

Do Not Transfer My Tax Information

By clicking the "Log Out" button, you are choosing not to transfer your tax information electronically. You may still use this tax information to input the data into your FAFSA application.
Print this page for your records before clicking the "Log Out" button.

Log Out

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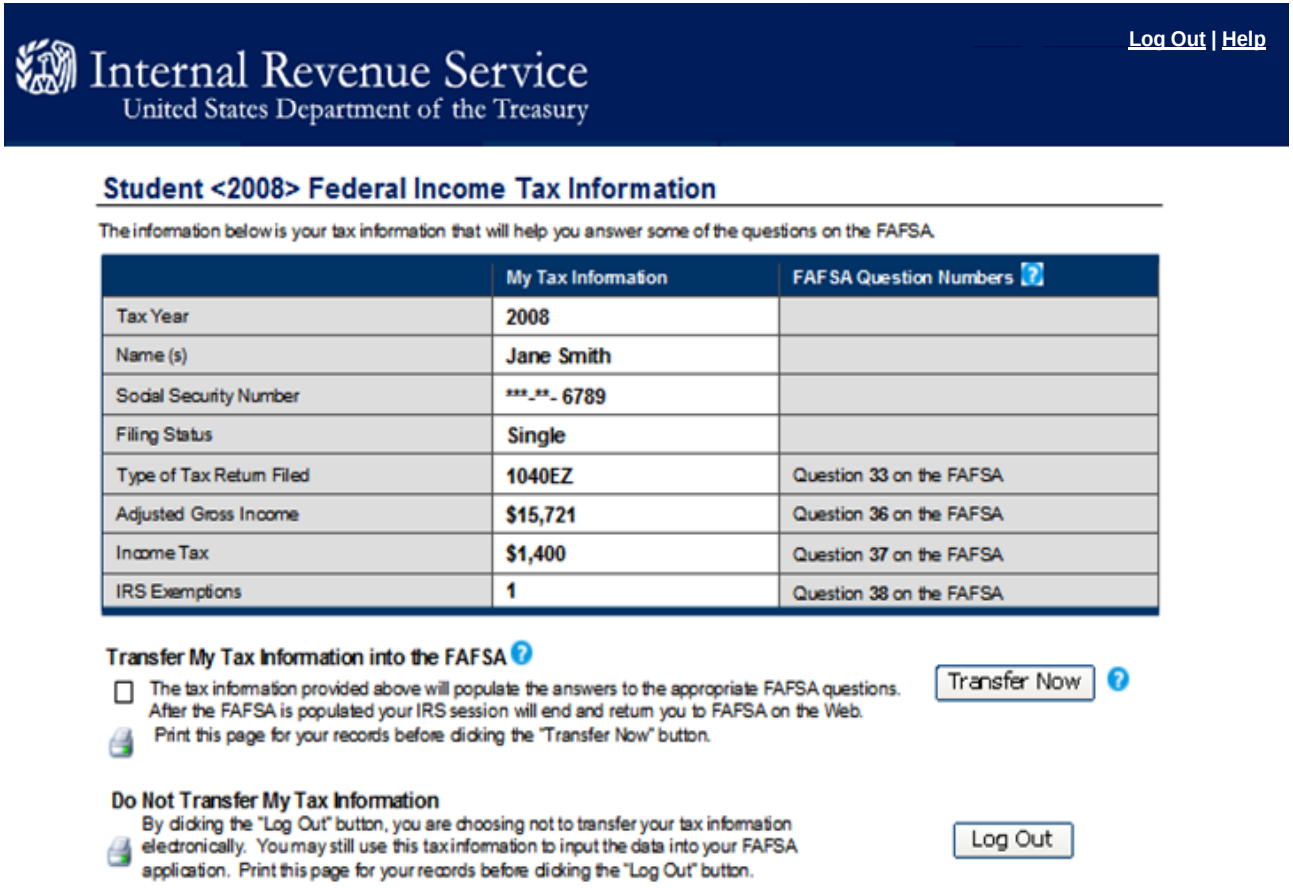
1040EZ



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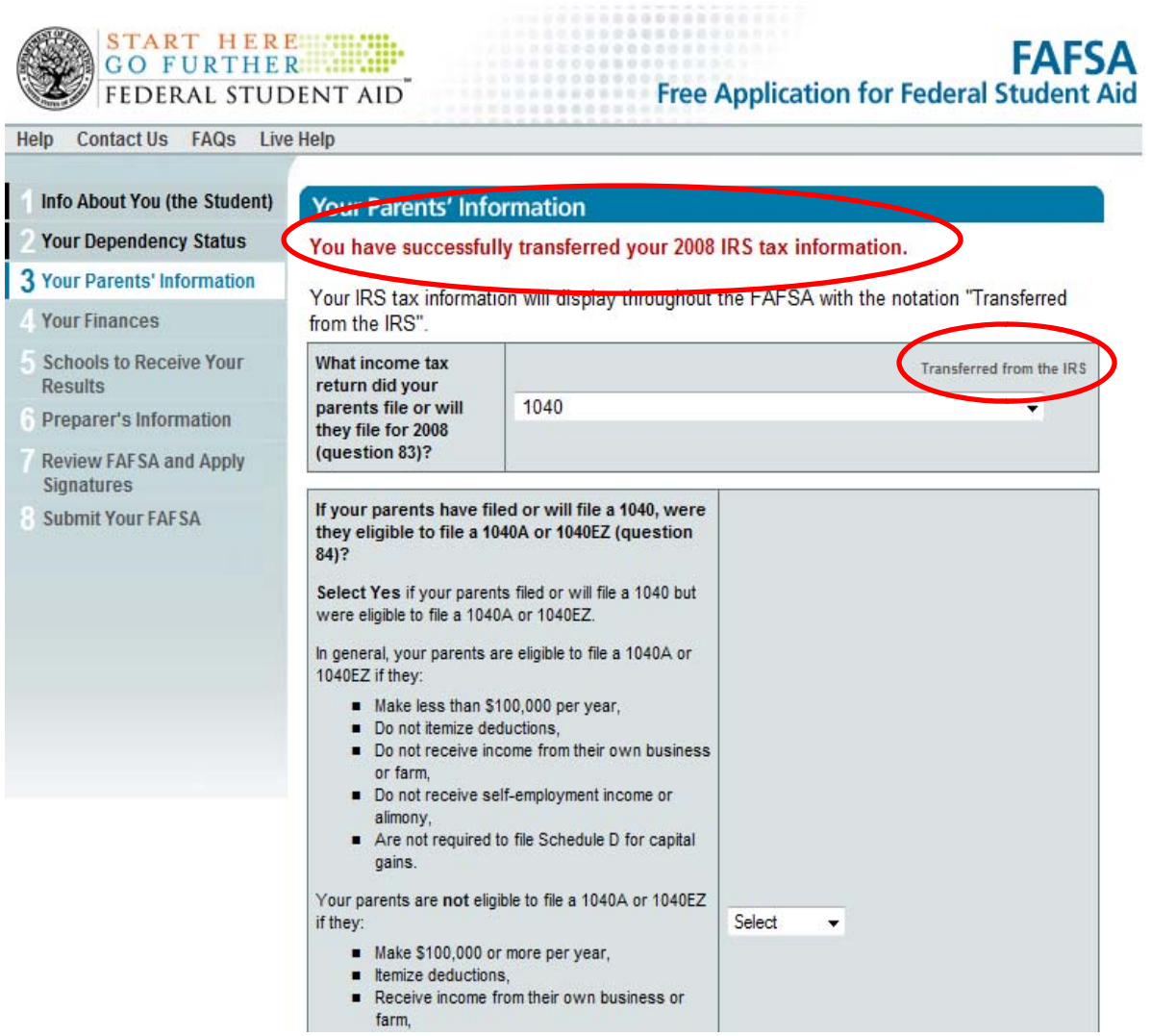
If *Transfer Now* is selected, IRS session will end and the applicant will return to FOTW…

Successful Transfer:
You have successfully transferred your 2008 IRS tax information

Notation for IRS fields:
Transferred from the IRS



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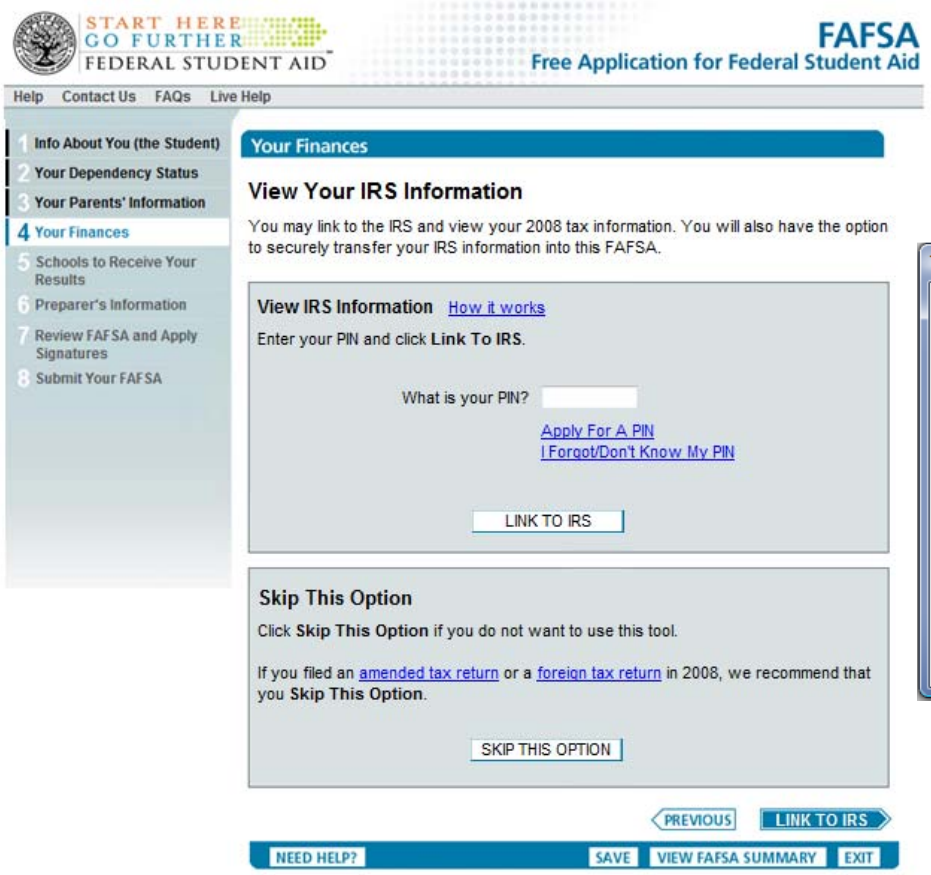
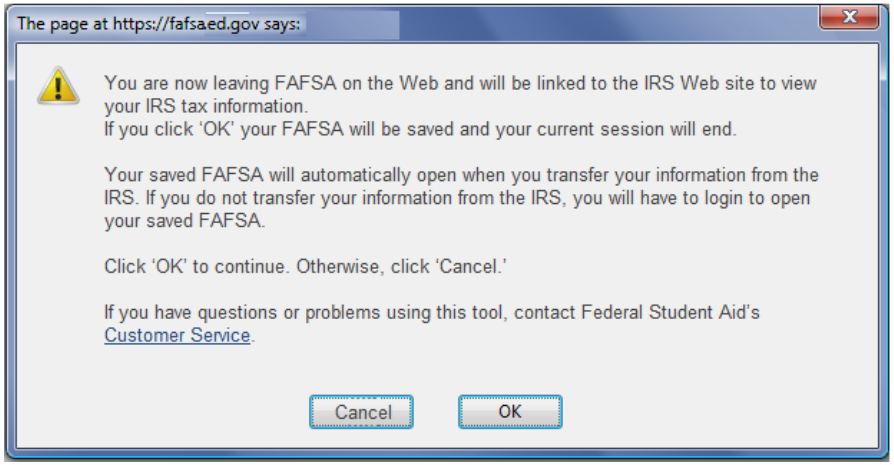
Student and parent would have to go to IRS separately…





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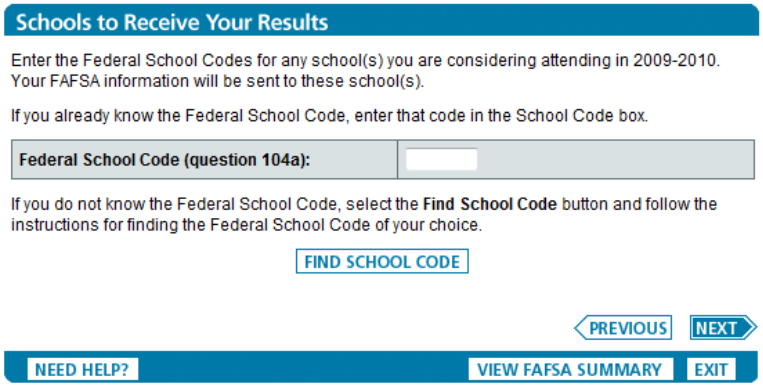
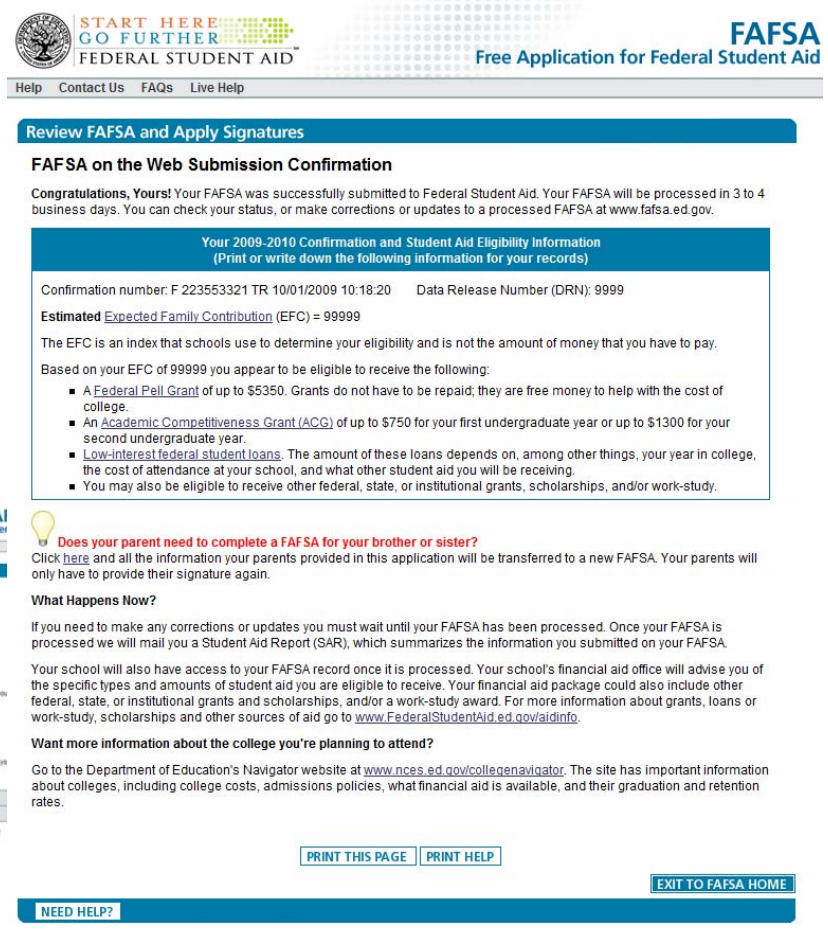
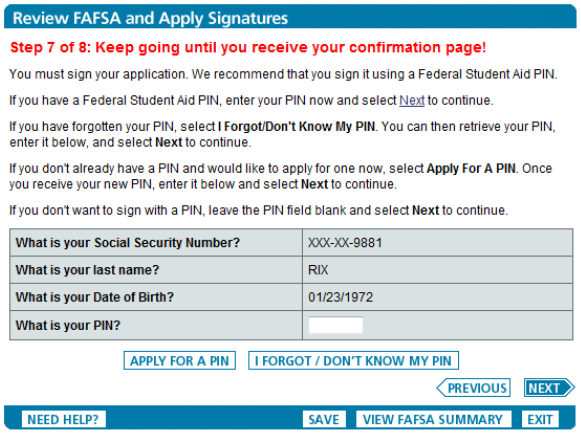
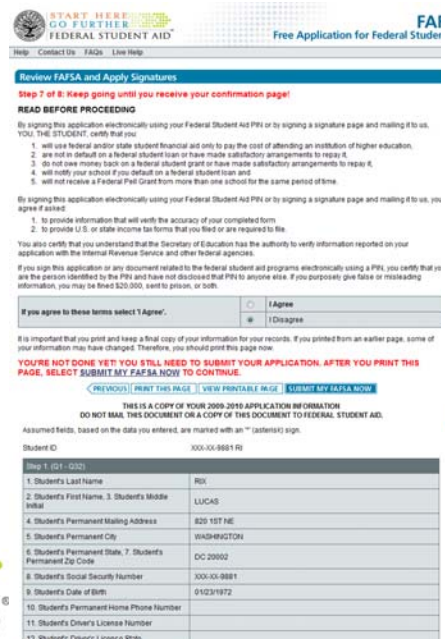
Applicant completes the application…









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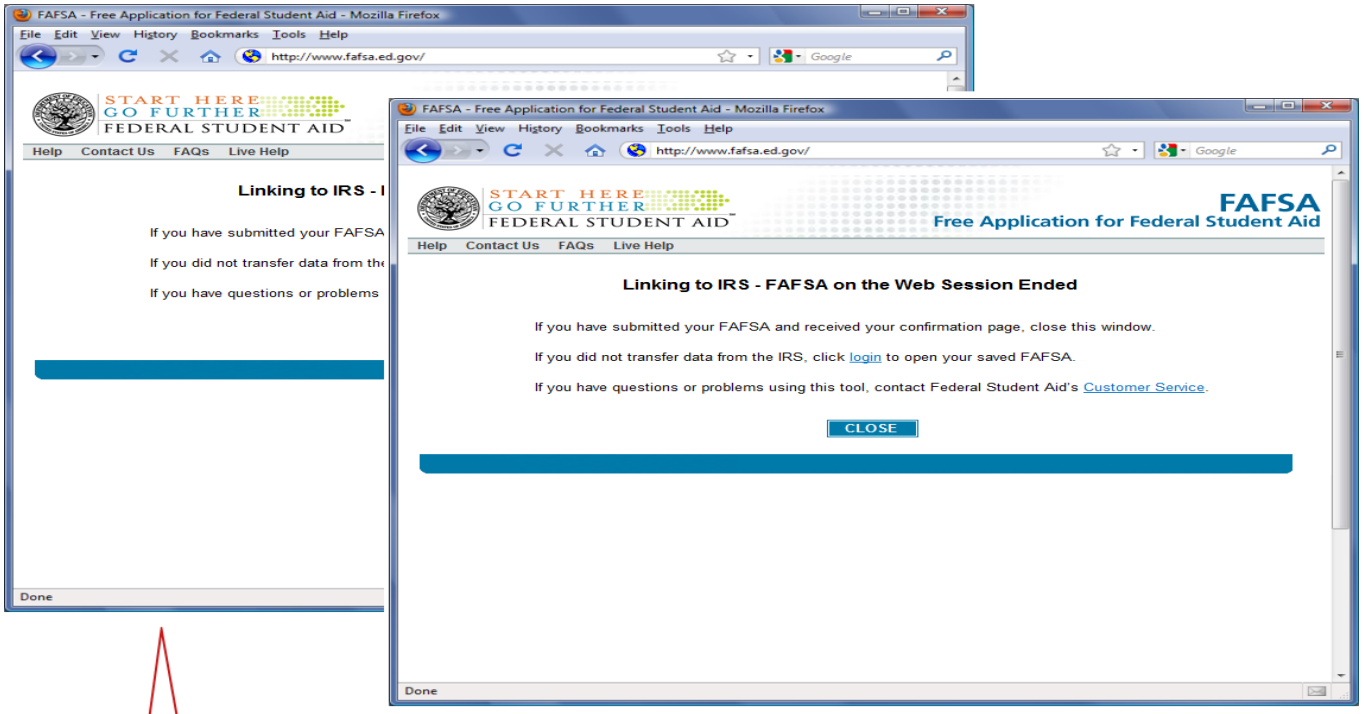
Once the confirmation window is closed, two FOTW windows will remain open…



Session Ended Page displayed after Parent links to IRS

Session Ended Page displayed after Student links to IRS

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WHO CAN USE IT?

WHEN CAN THEY USE IT?

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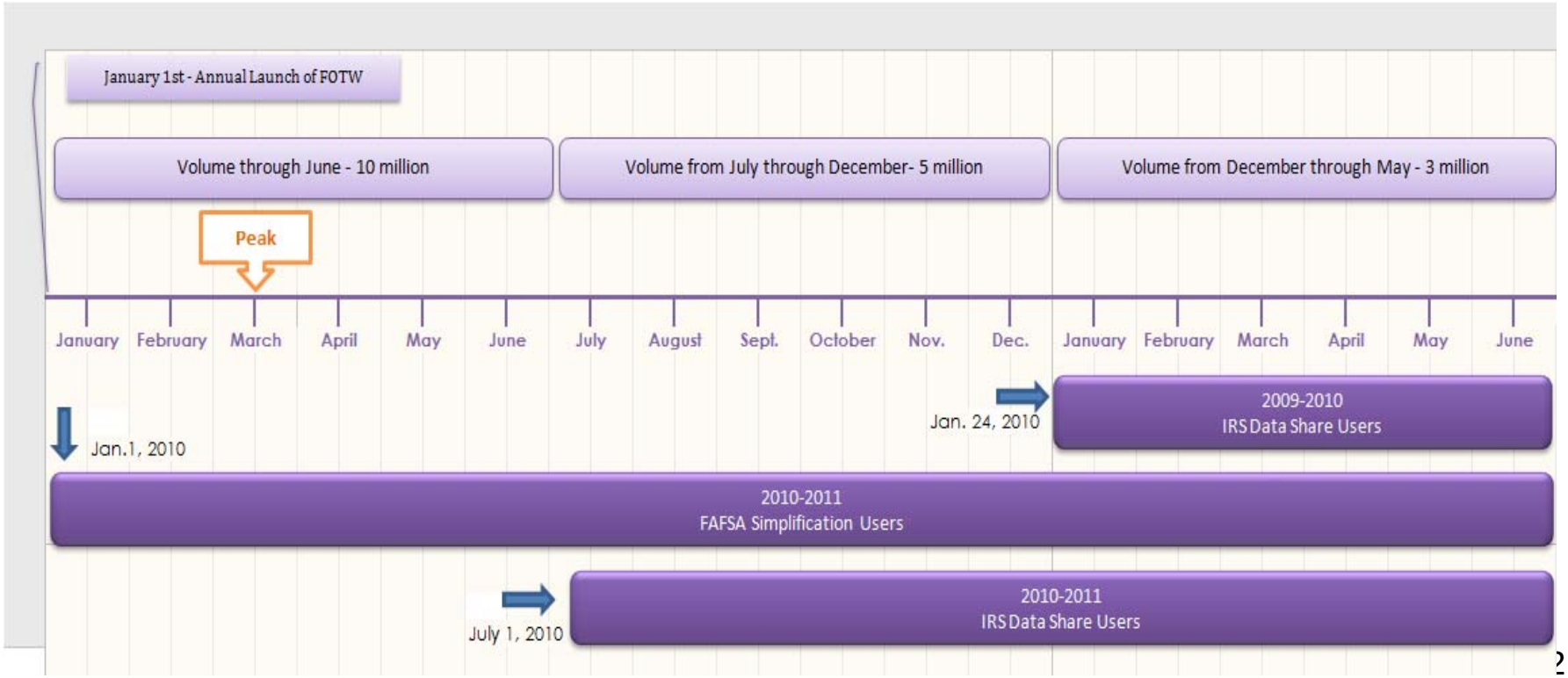
Who can use the IRS Data Retrieval Tool?

- Initial and renewal FAFSA on the Web applicants and parents
- Applicants and parents –
  - With a valid SSN and FSA PIN
  - Who have completed a 2008 tax return
  - With no change in marital status since 12/31/08

When will the IRS Data Retrieval Tool be available?

- 2009-10 FOTW: January 24, 2010
- 2010-11 FOTW: Summer 2010



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IRS Data Retrieval

What's New for 2009-10?

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What's new for 2009-2010?
IRS Data Retrieval

- In FOTW, COTW, FAA Access Corrections –
  - New indicator - "Transferred from the IRS" - will display next to each field populated with IRS data
  - Indicator removed from –
    - All parent income fields populated with IRS data whenever any of those parent fields are changed
    - All student income fields populated with IRS data whenever any of those student fields are changed
- No changes to electronic SAR, paper SAR or SAR Acknowledgement

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What's new for 2009-2010?
"Transferred from IRS" Indicator

- COTW and FAA Access Corrections

COTW/FAA ACCESS

Step Two: The Student's and Spouse's Income and Assets	Selected ISIR Value (Transaction #01)	Enter Correct Value
33. Student Filed 2008 Income Tax Return?	Already completed	Select
34. Student's Type of 2008 Tax Form Used:	Transferred from the IRS IRS 1040	Select Correct to Blank
35. Student Eligible to File a 1040A or 1040EZ?	Don't know	Select Correct to Blank
36. Student's 2008 Adjusted Gross Income:	Transferred from the IRS $2193.00	INCOME ESTIMATOR $ .00 Correct to Blank

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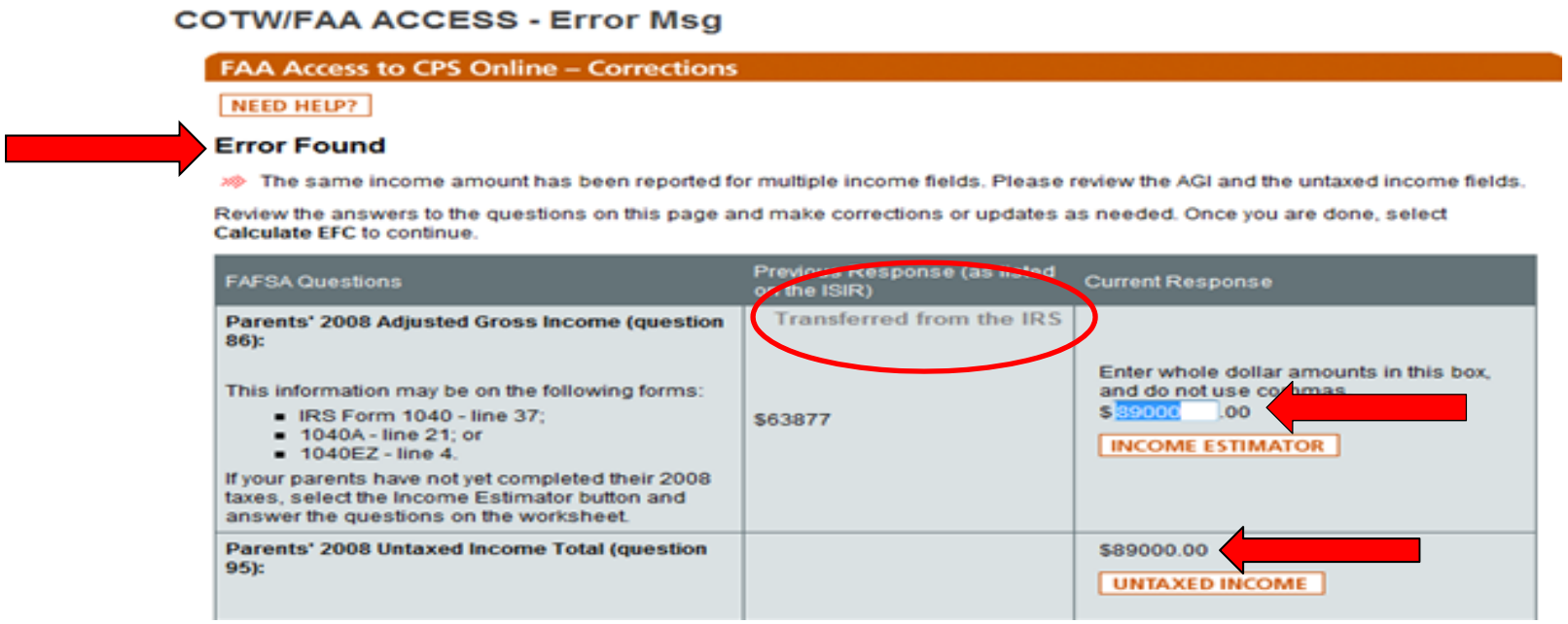
What's new for 2009-2010?
"Transferred from IRS" Indicator

- Edit Message in COTW and FAA Access Corrections



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What's new for 2009-2010?
Comment Codes

- CPS will set comment codes to indicate that student and/or parent transferred IRS data into FOTW
- Comment codes will appear in –
  - FAA Information section of the ISIR
  - Student Inquiry section of FAA Access
- Comment codes set based on certain conditions

What's new for 2009-2010?
Comment Codes

Comment Code	Condition
401	IRS data for the student was transferred from IRS and was not changed on the application by the user
402	IRS data for the student was transferred from IRS and at least one IRS data field was changed on the application by the user
403	IRS data for the student was transferred from IRS and at at least one student IRS data field was changed on a correction by the user
501	IRS data for the parent was transferred from IRS and was not changed on the application by the user
502	IRS data for the parent was transferred from IRS and at least one IRS data field was changed on the application by the user
503	IRS data for the parent was transferred from IRS and at least one parent IRS data field was changed on a correction by the user

IRS Data Retrieval

What's New for 2010-11?

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What's new for 2010-2011?

IRS Request Flags on SAR, ISIR and FAA Access

Only For Use by Financial Aid Office (skip to next page to begin your review of the data included in your SAR)

This information will be used by your Financial Aid Administrator to determine your eligibility for student aid.

SAR C Flag:	Y	Dependency Status:	D	Rejects Met:	A B C D E F G
Application Source:	4A	Dependency Override:	Y	Application Receipt Date:	01/01/2010
Transaction Source:	4A	Professional Judgment:	Y	Transaction Receipt Date:	01/01/2010
Processed Record Type:		Reprocessing Code:		Verification Flag:	Y
Duplicate SSN Flag:		Special Circumstances:	1	IRS Flag Student: 01	Parent: 01

MONTHS:	1	2	3	4	5	6	7	8	9	10	11	12
PRIMARY EFC:	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000
SECONDARY EFC:	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000

PC:
SIC:

Auto Zero EFC Flag:	Y	SNT Flag:	Y	Pell Eligible Flag:	Y

MATCH FLAGS:

SSN Match Flag:	4	Selective Service Registration Flag:	Y	Selective Service Match:	
DHS Match Flag:		DHS Verification #:	999999999999999	SSA Citizenship Code:	C
DHS Sec. Conf. Flag:		VA Match Flag:		FSSN Match Flag:	4
NSLDS Match Flag:	2	NSLDS Results Flag:	1	MSSN Match Flag:	4
		NSLDS Transaction Number:	01		

COMMENTS:

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- Revised ISIR layout posted to IFAP on 10/28/09

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What's new for 2010-2011?
IRS Request Flag Values

Student & Parent IRS Request Flag	Description
00	IRS data request for the student/parent was not submitted to IRS (default value)
01	IRS data request for the student/parent was sent to IRS
02	IRS data for the student/parent was returned from the IRS and was not changed by the user
03	IRS data for the student/parent was returned from IRS and was changed by the user
04	IRS data for the student/parent was transferred from the IRS and on a correction entry at least one IRS data field was changed by the user
05 (Under Construction)	*IRS data for the student/parent was transferred from the IRS but may be incomplete based on marital status and tax filing status*
06 (Under Construction)	*IRS data for the student/parent was transferred from the IRS but marital status conflicts with tax filing status*

What's new for 2010-2011?
Enhancements Under Consideration

- Receive "Tax Filing Status" from IRS as part of data retrieval and transfer process
- Add data retrieval process to COTW
- Add Spanish language version or enable Spanish FOTW filers to use retrieval process

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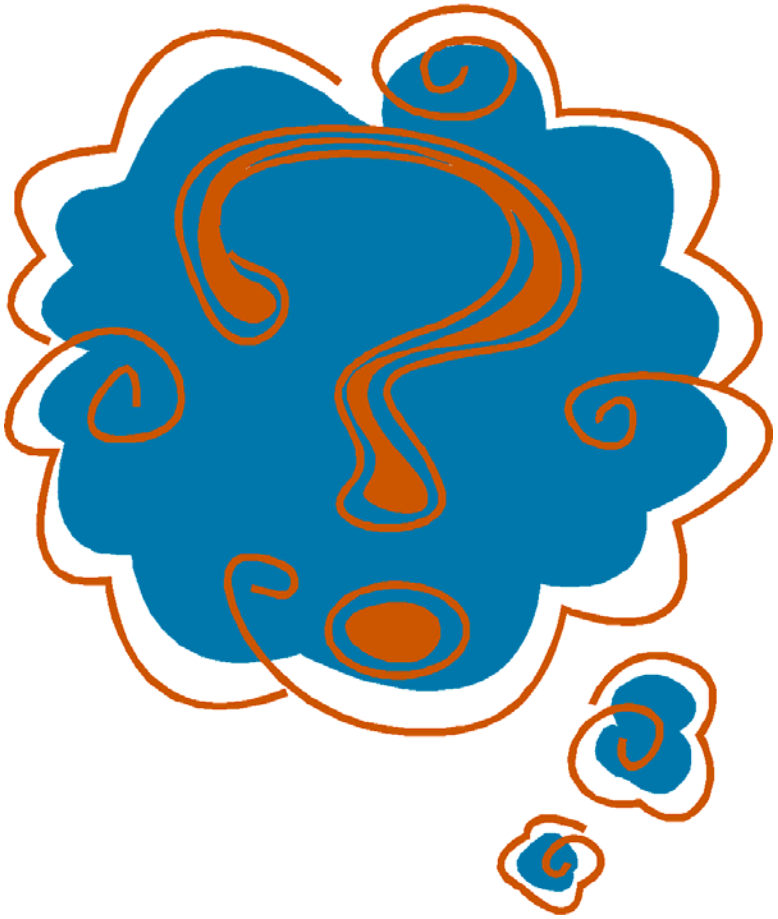
Verification?

Ideas for the Future?

Contact Information

- Michele Brown
  michele.brown@ed.gov
  202-377-3203

- Marilyn LeBlanc
  marilyn.leblanc@ed.gov
  202-377-3205

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