



THE U.S. Department of
EDUCATION



Session 22

What's New for Pell Grant Processing in 2003-2004?

Overview of COD

- Redefines aid origination and disbursement processes for Pell Grants and Direct Loans, and provides optional common reporting opportunities for campus-based programs
- Integrates a common process with a system designed to support origination, disbursement, and reporting
- Increases accountability and program integrity

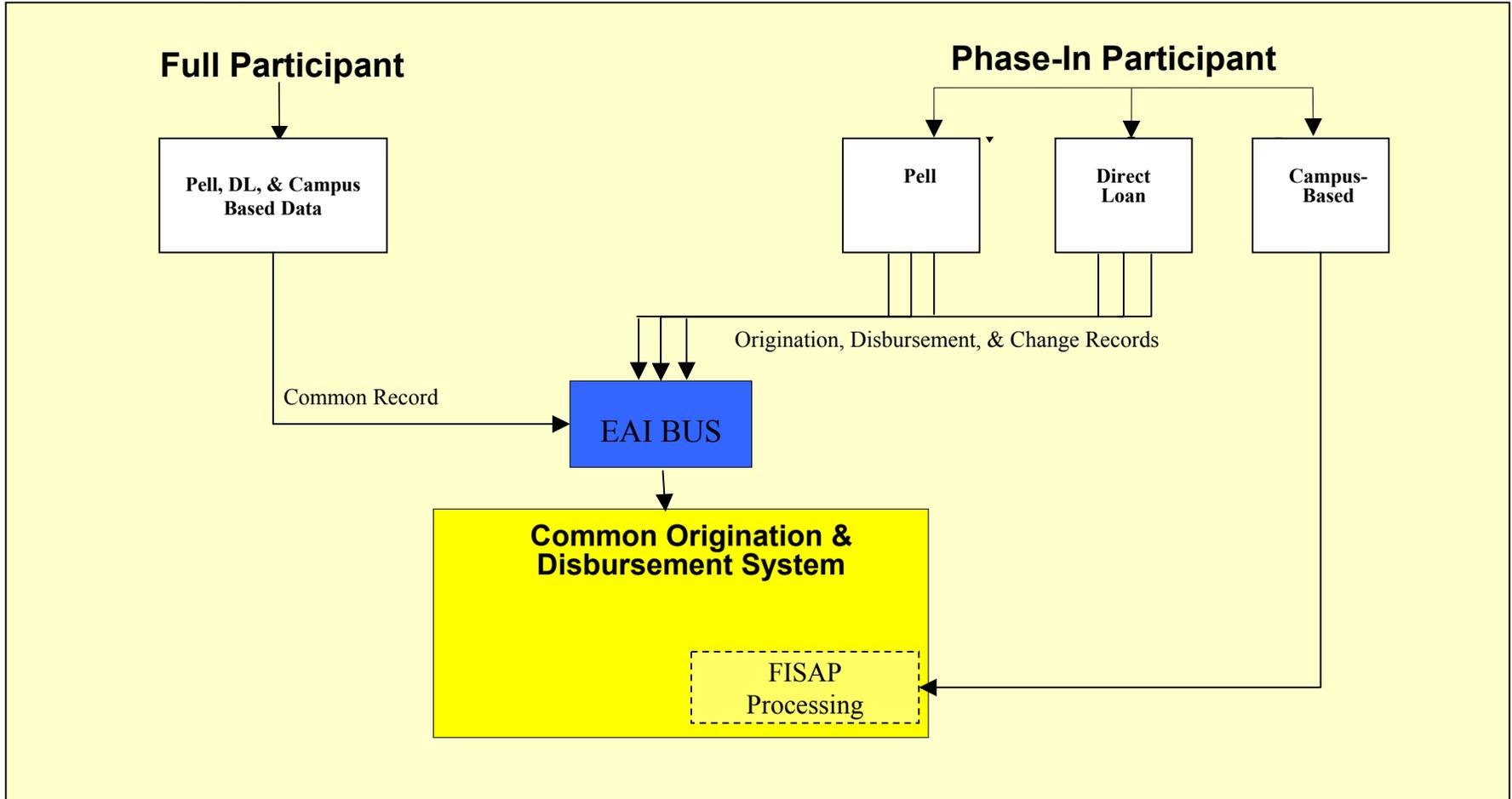
Overview of COD

- COD replaces the Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS) starting in 2002-2003
- ALL SCHOOLS data is processed by COD beginning in award year 2002-2003
- ALL SCHOOLS can access the COD website at <https://cod.ed.gov> (see later slides for how)

COD Participation

- Your school's "participant" status is determined by the method you use to transmit your data to COD:
 - Full Participants
 - Schools that submit data using the COD Common Record Layout in XML format
 - Phase-In Participants
 - Schools that submit data using Pell Grant/Direct Loan Record Layouts in fixed-length, flat file format

COD Participation





COD Participation

**ALL Schools must be Full
Participants by 2004-2005 !**

COD Cash Management Tools

- COD Web Pages
 - Provide up to date information about funds drawn by school
 - Allow for easy comparison of accepted student level disbursement records to funds
 - Allow easy view of Current Funding Level
 - Show GAPS activity
 - Provide for self-assessment of cash management compliance

COD Cash Management Tools

- Aging of Drawdowns
 - Each drawdown is aged to see how long it takes to “substantiate” the draw
 - Lets a school know at any point how much funds have not been substantiated

- Funds are available based on actual disbursement records
 - Records are accepted 30 days out
 - CFL is increased 7 days out

COD Cash Management Tools

- Customer Service assistance
 - Primary representative for each School/Service
 - Performs outreach activities including for funding issues (calls & warning messages)
 - Reconciliation Team
 - Provides Funds Management Support to Schools
 - Has access to GAPS information

COD Web Pages

- School Summary Information
- School Funding Information
- School Summary Financial Information
- Refunds of Cash Information
- Cash Activity



U.S. DEPARTMENT OF EDUCATION
COMMON ORIENTATION & DISBURSEMENT



- Person**
- School**
- Batch**
- Award**
- Reports**
- User**

- ▶ **School Search**
- ▼ **School Information**
 - School Summary
 - Financial Aid Contact
 - Eligibility
 - General
 - Options
 - Funding Info
 - Summary Financial Info
 - Refunds of Cash
 - Cash Activity
 - Events
 - Message List
 - Yearly Totals

School Summary Information

[? HELP](#)

TUSKEGEE UNIVERSITY i			
Contacts			Summary
Type	Name	Phone	
Direct Loan	BARBARA	(334) 724-4815	<input type="text" value="PELL"/>
Financial Aid Officer	HOWARD		
Pell Financial Aid Officer	BARBARA T. CHISHOLM	(334) 727-8201	Cash > Accepted & Posted Disbursements & older than 30 days \$36,900.00
FINANCIAL AID ADMINISTRATOR	BARBARA T CHISHOLM	(334) 727-8201	% of Cash > Accepted & Posted Disbursements 97.4%
			Cash > Accepted & Posted Disbursements \$36,900.00
			Current CFL \$39,900.00



- ▶ **School Search**
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School Funding Information ?HELP

TUSKEGEE UNIVERSITY i

Funding Information

Program
Award Year

Entity Id	23211676
Initial CFL	\$0.00
Current CFL	\$39,900.00
Previous CFL	\$8,802.40
CFL Adjustment	\$31,097.60
Last CFL Change Date & Time	10/09/2004 22:39:36
Available Balance	\$2,000.00
Cash > Net Accepted & Posted Disbursements	\$36,900.00
Net Accepted & Posted Disbursements	\$1,000.00
Net Drawdowns	\$37,900.00
Total Unduplicated Recipients	1
ACA	\$5.00
Last ACA Payment Date	09/30/2004



▶ **School Search**

Return to: [School List](#)

▼ **School Information**

- [School Summary](#)
- [Financial Aid Contact](#)
- [Eligibility](#)
- [General](#)
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- [Refunds of Cash](#)
- [Cash Activity](#)
- [Events](#)
- [Yearly Totals](#)
- [Relationships](#)

School Summary Financial Information

[? HELP](#)

TUSKEGEE UNIVERSITY

Summary Financial Information

Program **Award Year**

Entity Id	23211676
Beginning Cash Balance	\$0.00
Cash Receipts	\$45,000.00
Disbursement Amount	\$1,500.00
Disbursement Adjustments Amount	\$0.00
Refunds of Cash	(\$5,100.00)
Ending Cash Balance	\$38,400.00



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COMMON ORIENTATION & DISBURSEMENT



Person **School** Batch Award Reports User

- ▶ **School Search**
- ▼ **School Information**
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Refunds of Cash Information ? HELP

TUSKEGEE UNIVERSITY i

Refunds of Cash Information

Program Award Year

Applied Date	Check Number	Amount Applied	Award Sequence	SF215/SF5515 Number	Comment
10/29/2004	123456	\$1,000.00	8956	123456	C
10/24/2004	777	\$1,000.00	8956	123456	C
09/10/2004	123	\$100.00	8956	123456	C
09/08/2004	2	\$5,000.00	8956	23456700000000	C
09/08/2004	1	(\$1,000.00)	8956	12345600000000	C
09/04/2004	1	\$1,000.00	8956	12345600000000	C

School Information

- School Summary
- Financial Aid Contact
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- Relationships

School Workflows

Cash Activity



TUSKEGEE UNIVERSITY

Program/Year Selection

Program

Award Year

Cash Activity Summary

Net Draws \$39,900.00

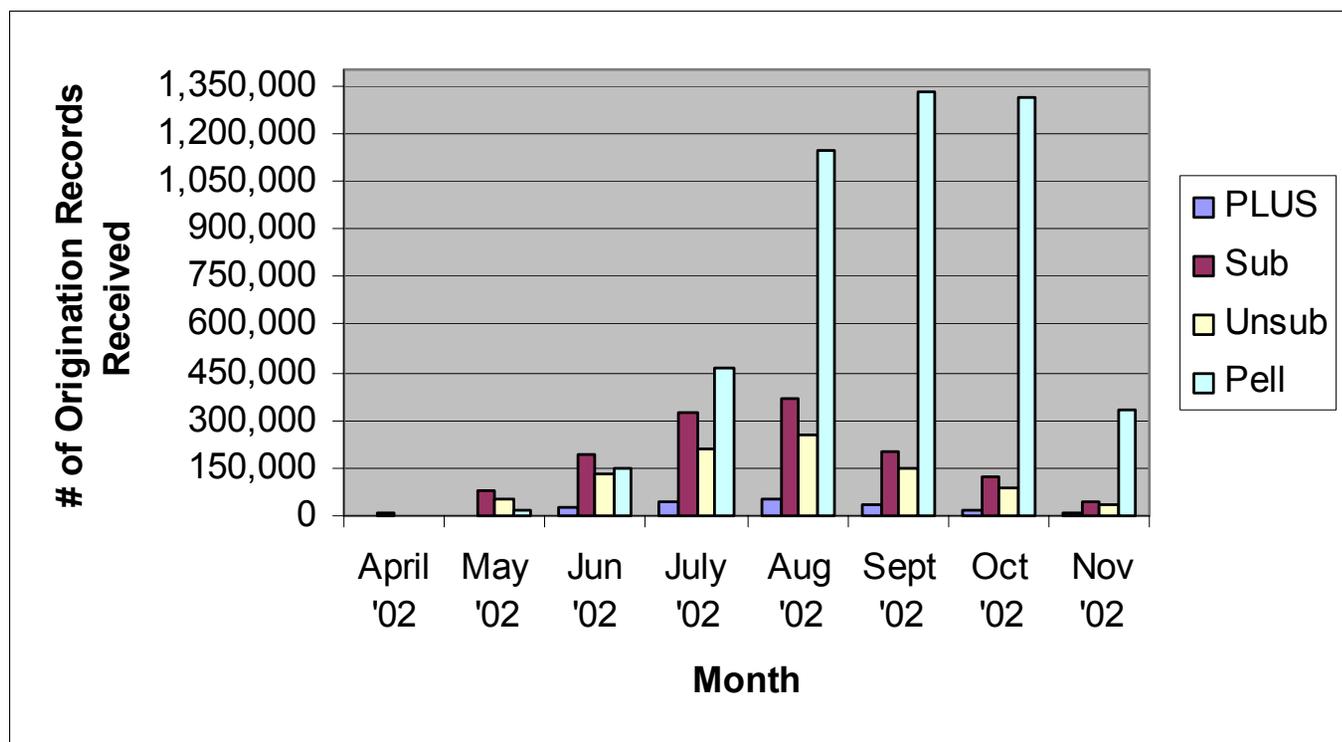
Cash > Accepted & Posted Disbursements & older than 30 days \$0.00

	Totals		
Date of Transaction		09/10/2004	09/08/2004
Time		2:19:22 PM	10:06:43 AM
Drawdowns/Payments	\$45,000.00		
Drawdown Adjustments	\$0.00		
Refunds of Cash	(\$5,100.00)	(\$100.00)	(\$5,000.00)
Returns of Cash	\$0.00		
Drawdown Offsets	\$0.00		
Days Since Net Draws Increase			
Days Left For On-time Reporting			
Payment Control Number			
Accepted & Posted Disbursements Applied	\$1,500.00		
% of Accepted & Posted Disbursements to Net Draws	3.8%		
Cash > Accepted & Posted Disbursements	\$38,400.00		
% of Cash > Accepted & Posted Disbursements	96.2%		
Source System		COD	COD

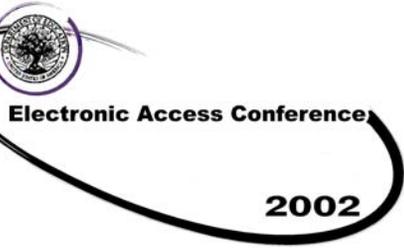


Processing Statistics - Originations

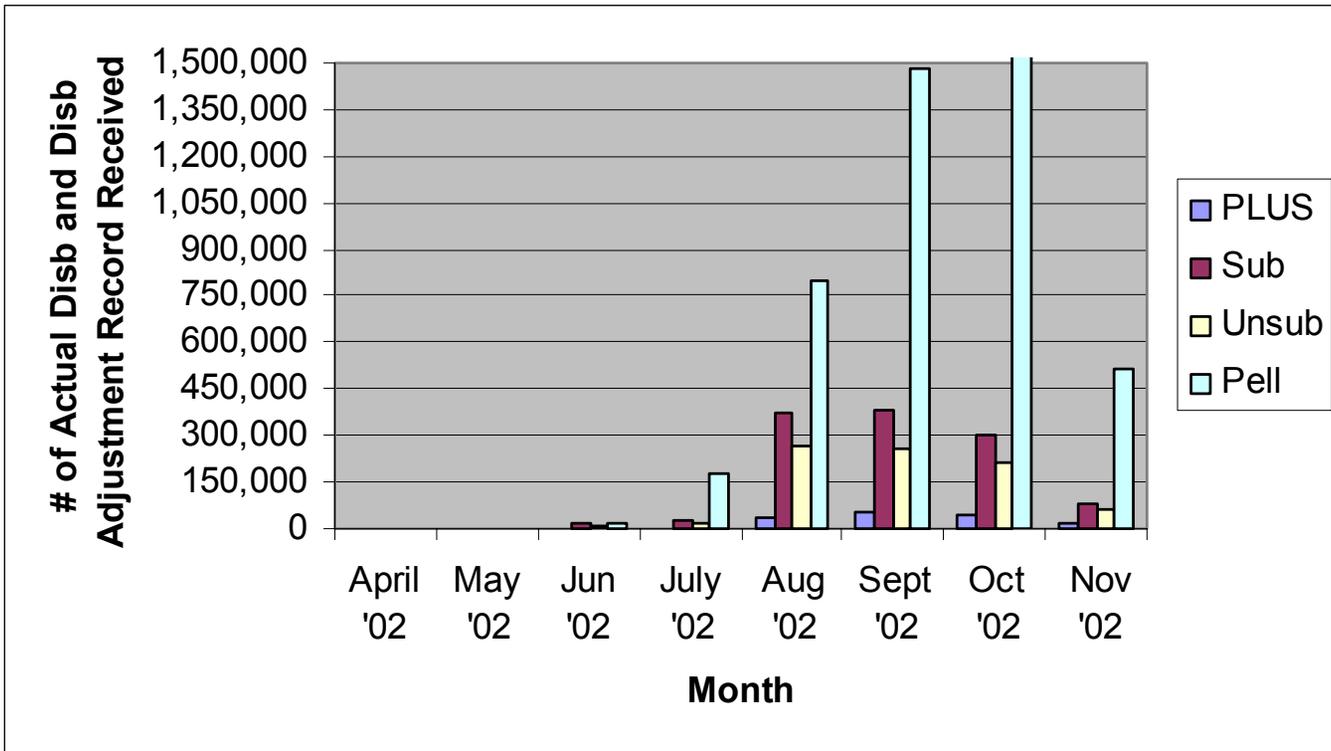
Award Type	April '02	May '02	Jun '02	July '02	Aug '02	Sept '02	Sept '02	Oct '02	Nov '02
Pell	415	19,429	151,506	459,827	1,146,285	1,333,460	1,310,719	333,344	



Processing Statistics - Disbursements



Award Type	April '02	May '02	Jun '02	July '02	Aug '02	Sept '02	Oct '02	Nov '02
Pell	0	0	18,823	173,118	797,607	1,484,350	1,600,190	511,183



Changes for 02-03: POP

NEW

NEW	OLD
Overawards allowed to exist for 30 days	Pell did not allow overawards for any period of time
MRR is produced informing all schools involved of the situation and providing contact information	MRR is produced informing all schools involved of the situation and providing contact information
If after 30 days the situation has not been remedied, all schools involved will receive a negative disbursement. Eligible school submits positive disbursement	Required submission first of a negative disbursement and then a positive disbursement



POP on the Web



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COMMON ORIENTATION & DISBURSEMENT



Person School Batch Award Reports User Program

▶ **Person Search**

▼ **Person Info**

- Detail
- All Awards
- Direct Loan
- Pell
- Promissory Notes
- Events
- Correspondence

▶ **Pnote Search**

▶ **Credit Check Search**

Person Pell Information



KEVIN K SMITH
[654321124](#)
 02/29/1952

Pell Grant Summary							
Year	School	Eligibility Used	POP Flag	POP Start Date	POP End Date	Award Amount Approved	Scheduled Award Amount
'02-'03	FAULKNER UNIVERSITY	100.000%	Y	11/15/2004		\$4,000.00	\$4,000.00
'02-'03	HUDSON VALLEY COMMUNITY COLLEGE	100.000%	Y	11/15/2004		\$4,000.00	\$4,000.00
Total:		200.000%					

Mar 17 2003 12:25 EST

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POP on the Web



U.S. DEPARTMENT OF EDUCATION
COMMON ORIGATION & DISBURSEMENT



Person

School

Batch

Reports

User

Program

▶ **Person Search**

▼ **Person Info**

Detail

All Awards

Pell

Events

Correspondence

▶ **Prnote Search**

▶

RAY COLLINS

765431841

10/28/1985

Pell Grant Summary

Year	School	Eligibility Used	POP Flag	POP Start Date	POP End Date	Award Amount Approved	Scheduled Award Amount
'02-'03	TUSKEGEE UNIVERSITY	0.000%	N	04/24/2004	05/25/2004	\$4,000.00	\$4,000.00
'02-'03	UNIVERSITY OF ALABAMA	0.000%	N	04/24/2004	05/25/2004	\$4,000.00	\$4,000.00
'02-'03	UNIVERSITY OF ALABAMA AT BIRMINGHAM	0.000%	N	04/24/2004	05/25/2004	\$4,000.00	\$4,000.00
'02-'03	COVENANT COLLEGE	0.000%	N	04/24/2004	05/25/2004	\$4,000.00	\$4,000.00
Total:		0.000%					

Mar 17 2003 12:29 EST

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Why New POP Process?

- School Feedback
 - RFMS Mad Dog indicated improvements were needed
- Removal of Reliance on Schools That Can't Respond
- Allows Funds to Flow Instead of Inhibiting Funds

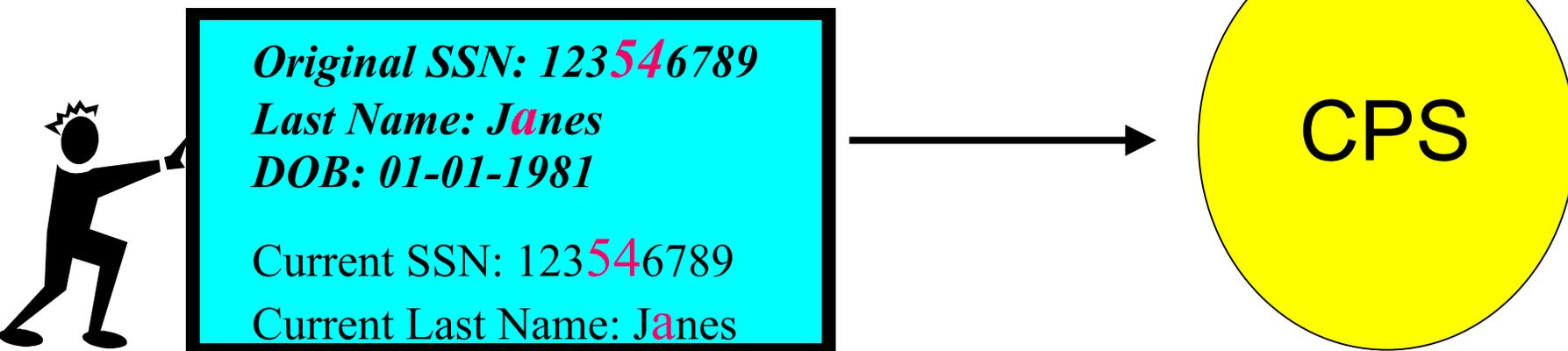


Changes for 02-03: Student Identifier

- **New demographic info used for the new student identifier information in COD**
- **Current SSN, Date of Birth and First Two Characters of Last Name (CPS Transaction Number)**
- **These components used to tie student to CPS information**
- **To make changes to these fields, CPS must be updated**
- **Update COD by re-originating the student with a new CPS Transaction Number**

Student Identifier

Step 1 – Student Files FAFSA (Transaction 01)



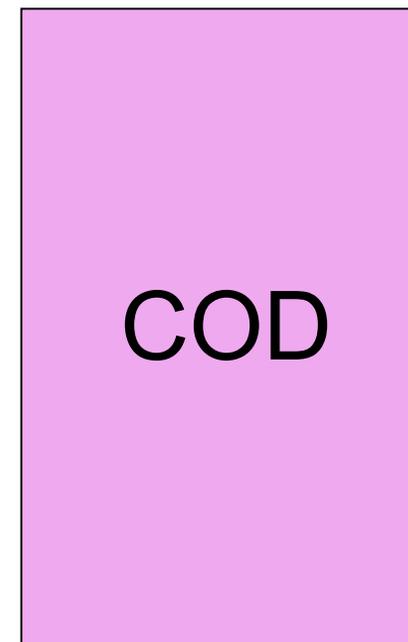
The Student Makes a mistake on SSN and Last Name

Student Identifier

Step 2 – School Sends in Origination to COD



SSN: 123546789
Last Name: Janes
DOB: 01-01-1981
Transaction Number - 01



Student Identifier

Step 3 – Student Corrects SSN & Last Name (Transaction 02)



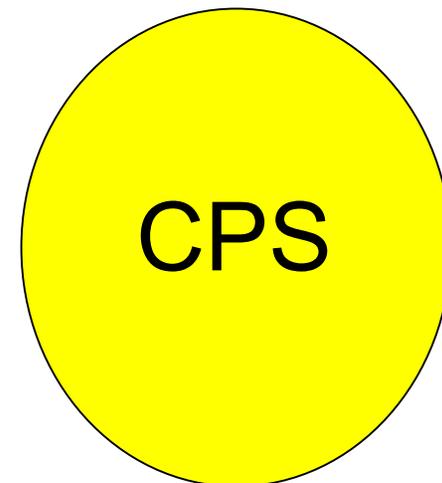
Original SSN: 123546789

Last Name: Janes

DOB: 01-01-1981

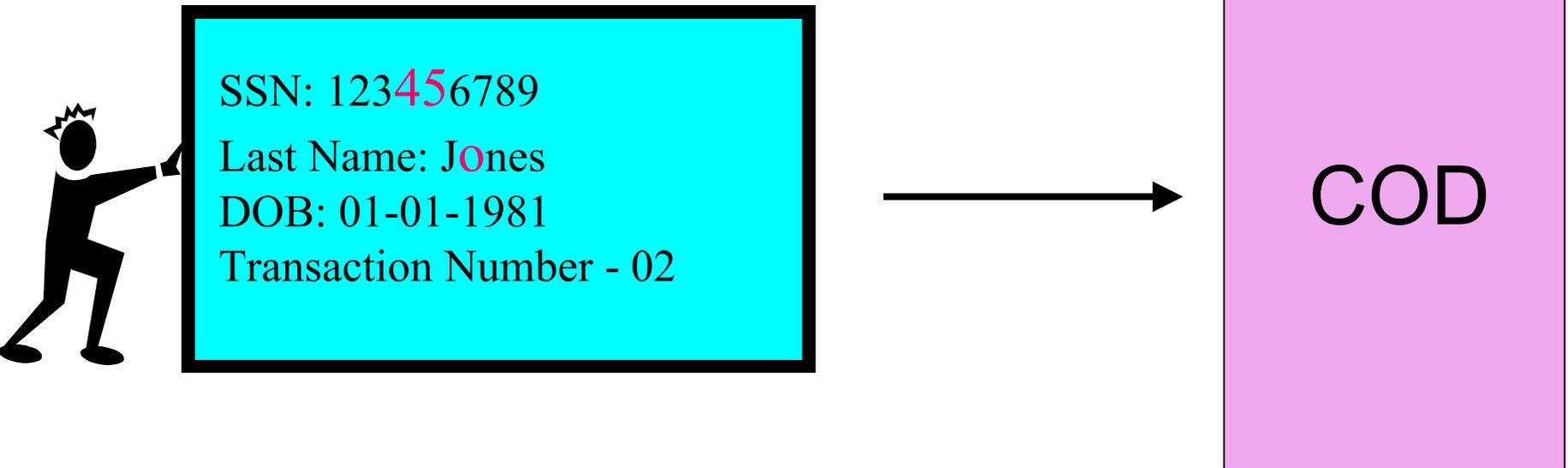
Current SSN: 123456789

Current Last Name: Jones



Student Identifier

Step 4 – Submission to COD



Pell Award on the Web

Electronic Access Conference

COMMON ORIGATION & DISBURSEMENT

PERSON SCHOOL BATCH **AWARD** REPORTS USER PROGRAM

[Award Search](#) Return to: [Award List](#)

Detail
[Disbursements](#)

Award Detail Information [HELP](#)

AGNES MOREHEAD	TUSKEGEE UNIVERSITY
765431840	PELL
10/28/1985	'02-'03

Award Information	
Award Amount Approved	\$4,000.00
Scheduled Award Amount	\$4,000.00
Award Amount Disbursed	\$1,000.00
CPS Transaction Number	01
Low Tuition and Fees Code	
Enrollment Date	09/02/2002
Cost of Attendance	\$16,000.00
EFC	0
Sec EFC Code Used	
Secondary EFC	2200
Verification Status Code	V
Academic Calendar	3
Payment Methodology	1=Standard Terms >= 30 Weeks
Hours Expected to be Completed In Payment Period (Required for Payment Methodology 4,5)	
Hours in Program Academic Calendar Year (Required for Payment Methodology 4,5)	
Weeks in Academic Calendar Year (Required for Payment Methodology 2,3,4,5)	
Weeks of Instructional Time (Required for Payment Methodology 2,3,4,5)	
Incarcerated	
Eligibility Used	25.000%

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Start Up Issues

■ Timing of Disbursements

Issue

- When an origination and a disbursement are sent to COD in the same batch or within a short period of each other, COD rejects the disbursement

Resolution

- COD rejects the disbursement because it processes the disbursement before the origination. The workaround is for schools to send disbursement records after the origination records are acknowledged
- COD is currently analyzing a long term solution to prevent this from happening

Start Up Issues

■ Processing Originations

Issue

- COD requires a CPS match

Resolution

- Schools must ensure that the student identifier being submitted matches a transaction on CPS and that the student is Pell eligible
- COD Customer Service and Operations are doing analysis to provide guidance to schools on solutions

Start Up Issues

■ Processing Originations

Issue

- COD issue with matching on the CPS match. Some edits being returned inaccurately. Edit 824 (312) , 024 (311), 012 (332, 333, 334)

Resolution

- A programmatic issue in COD was not allowing accurate comparisons of the school reported data and the data from CPS. This issue has been fixed.
- Schools must resubmit the rejected records.

Start Up Issues

■ Processing Originations

Issue

- COD is not allowing the use of pennies on the Award Amount (Origination Award Amount) to be optional for all schools

Resolution

- A programmatic issue in COD is not allowing schools to round the award amount up to the next whole dollar when performing validation and correction calculations.
- Change to program will be implemented before the end of the calendar year.



Start Up Issues

■ NSLDS

Issue

- COD is currently reporting to NSLDS weekly
- Zeroing out of disbursement amounts and issues with blank first names

Resolution

- Code changes have been made and testing continues. Daily NSLDS feed is expected to begin very soon.
- Code changes have been made and both the zeroing out of disbursements and the values being passed to NSLDS for blank first name have been corrected and are reflected in the weekly file.

Start Up Issues

■ Year to Date File

Issue

- COD has not implemented the Pell Year to Date File.
- Batch based data requests not available.

Resolution

- COD is in the final stages of testing of the Pell YTD file. It is expected to be completed as soon as possible. If you have requested a YTD via COD Support, it will be sent to your mailbox when the YTD is implemented.
- Batch based data requests will be available beginning in February 2003. To request the YTD prior to then, please call or e-mail COD support.



What's Planned for 2003-2004?

- Common Record Layout changes
 - Modified Tag Names
 - Eliminating abbreviations from tag names [suggestion from the Post Secondary Education Standards Council (PESC)]



Common Record Layout Changes – New Tags

- <NegativePendingAmount>
 - New for **Full Participants**; will be returned on the Common Record Response document for Document Type = RS
 - Indicates the amount by which disbursement(s) will be reduced if action is not taken by the school within 30 days



Common Record Layout Changes – New Tags

- <TotalFinancialDisbursementAccepted>
 - Total Disbursement Amount Accepted – the total amount of disbursement records accepted
 - For **Full Participants only**; will be returned on the Common Record Response document
 - Includes both “anticipated” and “actual” disbursements



Common Record Layout Changes – New Tags

- <TotalFundedDisbursementAccepted>
 - Total Funded Disbursement Amount Accepted – the total amount of “actual” disbursement records accepted

 - For **Full Participants only**; will be returned on the Common Record Response document

 - Includes only accepted disbursements with Disbursement Release Indicator = True



Common Record Layout Changes – New Tags

- **<TotalNonFundedDisbursementAccepted>**
 - Total Non-Funded Disbursement Amount Accepted – the total amount of “anticipated” disbursement records accepted
 - For **Full Participants only**; will be returned on the Common Record Response document
 - Includes only accepted disbursements with Disbursement Release Indicator = False



What's Planned for 2003-2004?

- Phase In Participant Record Layout Changes
 - Addition of “S” as a valid value for Verification Status in the Origination and Origination Acknowledgement (YTD records as well)

What's Planned for 2003-2004?

- EDEExpress users will become Full Participants in 2003-2004
 - EDEExpress Software will be modified to import and export XML to the COD System
 - For combo systems, external import and exports will continue to be in current fixed length formats
 - All reports will continue to be produced and imported in current formats not XML
- All EDEExpress users must register with COD Customer Service as 2003-2004 Full Participants

What's Planned for 2003-2004?

- New Pell Verification Status Codes
 - The Valid Values for Verification Status Code will be “V”, “W”, “S” and BLANK.
 - “S” has been added and is to be used for “Selected Not Verified”
 - Editing on that field remains the same as for the 2002-2003 Award Year

COD Timeline

- 2003 – 2004 Development Schedule:
 - Requirements Complete July 2002
 - Design Complete September 2002
 - Development Complete November 2002
 - School/Vendor Testing: February 2003
 - System Start Up: March 2003



2003-2004 COD School Testing

- School Testing Phases:
 - Common Record Manual Verification – February 2003
 - Structured Application Testing – Mar. 2003 – Sept. 2003
- Schools must sign-up in advance to participate in school testing:
 - Contact COD School Relations and request a school testing sign-up sheet (codsupport@acs-inc.com)
- School Testing Guide available on IFAP beginning in January 2003

Who Should Participate in School Testing?

- Software Vendors modifying software to support the XML Common Record are encouraged to test with COD
- Full Participant Schools that use their own software product are encouraged to test with COD
- The EDEExpress product is tested extensively with COD prior to being released to schools; therefore, EDEExpress Users do NOT need to test with COD
- Since most software vendors test with COD on their school's behalf; schools utilizing a software vendor's product do NOT need to test with COD
 - Schools are encouraged to talk to their vendor about test plans and testing results



School Testing Lessons Learned

- Plan to participate in manual verification testing prior to participating in additional testing phases
- Have a good understanding of SAIG On-Line Screen Manager in order to see that files were sent and received correctly
- Allow at least 2 weeks of setup time from sign-up prior to starting testing
- Plan and allow time for issue identification, error resolution and retesting with COD
- Be careful to send test files to the correct mailbox and with the correct testing message classes



How do I obtain access to the COD Web Site?

- COD is a web based application that can be accessed using Microsoft Internet Explorer 4.01 or Netscape Navigator 4.73
- The URL for COD is <http://cod.ed.gov>
- A username and password are required to access school data



How do I obtain access to the COD Web Site?

- Submit a Security Administrator Request Letter on school or corporate letterhead
 - Security Administrator is responsible for setting up additional users at your institution
 - Reporting/Attending school relationships in COD will automatically allow access to other school codes
- Refer to announcements on IFAP for detailed instructions
 - 6/3/02 - Updated: COD Website Access for Schools
 - 6/3/02 - COD Website Access for Vendors/3rd Party Servicers



How do I obtain access to the COD Web Site?

- Where do I send my Security Administrator Account Information?

U.S. Department of Education
COD School Relations Center
P.O. Box 9003
Niagara Falls, NY 14302

How do I become a COD Full Participant?

- Schools must register with COD to become a Full Participant in Award Year 2003-2004
- To register, contact COD School Relations
 - Email **CODSupport@acs-inc.com**
 - Indicate “2003-2004 Full Participant” in Subject Line
 - Call COD School Relations
 - Select Award Year 2002-2003 and express interest to COD CSR
- All EDEExpress Users must register as Full Participants for 2003-2004



How do I contact COD Customer Service?

- Email **CODSupport@acs-inc.com**
- Call COD School Relations Center
 - 1-800-4-P-GRANT for Pell Grants
 - 1-800-848-0978 for Direct Loans
 - Enter Award Year 2002-2003
- COD Web Site (www.cod.ed.gov)



Where can I get more information?

- IFAP Web Site (www.IFAP.ed.gov)
 - Common Origination and Disbursement page
 - Announcements and FAQs
 - COD Technical Reference
- COD Web Site (www.cod.ed.gov)
 - Daily Processing Updates
 - School Funding and Processing Information
- Contact COD Customer Service

COD Sessions

- Session 19 – Cash Management Overview A-Z
- Session 21 – What's New for Direct Loan Processing in 2003-2004?
- Session 22 – What's New for Pell Grant Processing in 2003-2004?
- Session 23 – COD and Pell Grants: Updates to Edits and Record Layouts for 2003-2004
- Session 24 – COD and Direct Loan: Updates to Edits and Record Layouts for 2003-2004
- Session 25 – Meet the COD Customer Service Team and Get Answers to Your COD Questions



COD Sessions

- Session 26 – COD: Using the New Web Site
- Session 27 – COD Full Participants for 2003-2004
- Session 28 – COD and Direct Loans: Balancing the Books
- Session 29 – COD, Pell, and Direct Loans: Funding for Schools
- Session 58 – Tribally Controlled Colleges: Operating as a Full Participant in the COD environment
- COD Customer Service Staff are available in the PC Lab

QUESTIONS???

